

**NEW YORK
AMERICAN RECOVERY &
REINVESTMENT**



HANDBOOK

Governor David A. Paterson

Updated April 27, 2009

DISCLAIMER

This handbook should not be considered an application or request for proposals, it serves only as an information guide. This handbook does not include complete information about all federal recovery funding programs. It encompasses only the material that has been determined to date. Many of the specific funding guidelines have not been set by federal agencies. Details are forthcoming. This handbook will be updated regularly and will be posted on the State's Recovery website - www.recovery.ny.gov. The federal website, www.recovery.gov, serves as a useful tool to inform the distribution of federal recovery funds.

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TRANSPORTATION

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FAA – Grants-in-Aid – grants for improvements to public-use commercial and general aviation airports.

Federal Agency: Federal Aviation Administration, USDOT

Agency Web Site: www.faa.gov

National Total: \$1.1 billion

New York State Total: N/A

Entities Eligible to Apply: Selected public-use airports solicited by the FAA to apply for projects.

Flow of Funds: The FAA will distribute grant funding directly to selected public and private entities that own public-use airports.

Obligation Deadline: 50% of funds must be awarded by June 17, 2009.
Remainder must be awarded by February 17, 2010.

Reporting Requirements: Public-use airports receiving grants will be required to report regularly to the FAA.

State Agency: None. This grants-in-aid program is being administered entirely by the FAA.

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Technical questions should be directed to the FAA NY Airports Division Office at 516-227-3803.

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FAA Facilities & Equipment (F & E) - supplemental funding for the USDOT Federal Aviation Administration (FAA) to upgrade its own facilities and equipment around the country.

Federal Agency: Federal Aviation Administration, USDOT

Federal Agency Web Site: www.faa.gov

National Total: \$200 million

New York State Total: N/A

Entities Eligible to Apply: None. Funding is only for FAA use.

Flow of Funds: The FAA will use the funds at its discretion to upgrade its own facilities and equipment.

Obligation Deadline: Funds are available until September 30, 2010.

Reporting Requirements: The FAA will be required to report periodically on use of the funds.

State Agency: None involved

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: None

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: FHWA - Highway Infrastructure Investment – for highway and bridge, public transportation, passenger and freight rail transportation, or port infrastructure investment projects.

Federal Agency: Federal Highway Administration - USDOT

Agency Web Site: www.fhwa.dot.gov

National Total: \$27.500 billion

New York State Total: \$1.120 billion

Entities Eligible to Apply: NYSDOT and local governments

Flow of Funds: Funds are received by NYSDOT and then used for State projects or distributed to local governments to reimburse them for local –sponsored projects

Obligation Deadline: Funds are available until September 30, 2010.
\$392 million must be obligated by June 30, 2009; the remainder of the \$392 million not obligated is redistributed to other states.
Remaining funds must be obligated by March 3, 2010, or else redistributed to other states

Reporting Requirements: Program is subject to the reporting requirements of Sections 1201 and 1512. These include the amount of funds obligated, projects put out to bid, awarded contracts, projects where work has begun, completed projects, and number of jobs created or retained.

State Agency: New York State Department of Transportation

State Agency Web Site: www.recovery.ny.gov www.nysdot.gov

State Agency Technical Contact: Mark Silo Vicky Forando
msilo@dot.state.ny.us vforando@dot.state.ny.us
518-457-2470 518-485-5402

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Discretionary Grants for a National Surface Transportation System – USDOT Secretary shall award funds on a competitive basis for highway and bridge, public transportation, passenger and freight rail transportation, or port infrastructure investment projects that have a significant impact of the Nation, a metropolitan area or a region. The project cost must be between \$20 million and \$300 million (although the Secretary may waive the minimum to fund significant projects in smaller areas), and no state may receive more than 20% of the available funds.

Federal Agency: US Department of Transportation

Agency Web Site: www.dot.gov

National Total: \$1.500 billion

New York State Total: Discretionary program

Entities eligible to Apply: State and local governments or transit agencies

Flow of Funds: USDOT Secretary distribute funds on a competitive basis to states, local governments, and transit agencies based on an evaluation of applications submitted to USDOT by those entities.

Obligation Deadline: Funds are available until September 30, 2011 USDOT must:
Announce grant criteria by May 18, 2009
Require applications within 180 days of criteria
Announce projects by February 17, 2010

Reporting Requirements: Program is subject to the reporting requirements of Sections 1201 and 1512. These include the amount of funds obligated, projects put out to bid, awarded contracts, projects where work has begun, completed projects, and number of jobs created or retained.

State Agency: New York State Department of Transportation

State Agency Web Site: www.recovery.ny.gov www.nysdot.gov

State Agency Technical Contact: Vicky Forando
518-485-5402
vforando@dot.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FRA – Capital Grants to the National Railroad Passenger Corporation (Amtrak) – Funding provided directly to Amtrak for capital expenses on the national rail passenger system.

Federal Agency: Federal Railroad Administration, USDOT

Agency Web Site: www.fra.dot.gov

National Total: \$1.300 billion

New York State Total: N/A

Entities Eligible to Apply: Amtrak

Flow of Funds: Funds are provided directly to Amtrak

Obligation Deadline: Funds are available until September 30, 2010

Reporting Requirements: Amtrak is responsible for reporting the use of the ARRA funds

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: FRA – Capital Assistance for High Speed Rail Corridors and Intercity Passenger Rail Service and a Capital Grants program to Amtrak - There are two major types of rail grant programs under ARRA: (a) \$8 billion for capital grants for high-speed rail corridors and intercity passenger rail service, and (b) \$1.3 billion for capital grants to Amtrak, of which \$450 million is for security improvements including life safety improvements. Under (a), there are three specific rail grant programs:

- High-speed rail corridor development grants,
- Intercity passenger rail service corridor capital assistance grants
- Congestion grants

Federal Agency: Federal Railroad Administration, USDOT

Agency Web Site: www.fra.dot.gov

National Total: \$8.000 billion

New York State Total: Yet to be determined and will be based upon grants awarded in response to the FRA discretionary grant applications review and approval process.

Entities Eligible to Apply:

1. High-speed rail corridor development grants - States (including the District of Columbia), groups of States, Interstate Compacts, public high-speed passenger rail agencies established by one or more States, and Amtrak
2. Intercity passenger rail service corridor capital assistance grants - States (including the District of Columbia), groups of States, Interstate Compacts, and public intercity passenger rail agencies established by one or more
3. Congestion grants - States and Amtrak (in cooperation with States) are eligible to apply

Flow of Funds: The high-speed rail corridor development, intercity passenger rail corridor capital assistance, and congestion grant programs are newly established programs authorized in the recently passed rail legislation. Congress acknowledged this fact by requiring the Secretary of Transportation to develop a strategic plan for implementing the programs and deliver that plan to Congress within 60 days of enactment -- April 18, 2009. In addition, since there are no existing regulations governing these programs, Congress instructed the Secretary to provide interim guidance to prospective applicants within 120 days of enactment -- June 17, 2009.

Obligation Deadline: Funds are available until September 30, 2012

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Reporting Requirements: Yet to be determined, the interim guidance is due out from the FRA no later than June 17, 2009.

State Agency: New York State Department of Transportation

State Agency Web Site: www.recovery.ny.gov www.nysdot.gov

State Agency Technical Contact: Don Hannon Vicky Forando
518-457-4033 518-485-5402
dhannon@dot.state.ny.us vforando@dot.state.ny.us

The following types of capital projects are eligible for funding:

- High-speed rail corridor grants and intercity passenger rail grants:
a project for acquiring, constructing, improving, or inspecting equipment, track, and track structures, or a facility of use in or for the primary benefit of high-speed rail service, expenses incidental to the acquisition or construction (including designing, engineering, location surveying, mapping, environmental studies, and acquiring rights-of-way), payments for the capital portions of rail trackage rights agreement, highway-rail grade crossing improvements related to high-speed rail service, mitigating environmental impacts, communication and signalization improvements, relocation assistance, acquiring replacement housing sites, and acquiring, constructing, relocating, and rehabilitating replacement housing; or
a project for rehabilitating, remanufacturing or overhauling rail rolling stock and facilities used primarily in intercity passenger rail service.
- Congestion grants: capital costs of facilities, infrastructure, and equipment for high priority rail corridor projects necessary to reduce congestion or facilitate ridership growth in intercity rail passenger transportation. Additional information on project eligibility is provided in 49 U.S.C. §24105(b).
- Grant funds cannot be used for operating expenses.

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FTA - Transit Capital Assistance: Provides transit capital assistance to urbanized and non-urbanized (rural) areas

Federal Agency: Federal Transit Administration, USDOT

Agency Web Site: www.fta.dot.gov

National Total: \$6.900 billion based on two major FTA formula programs

New York State Total: \$1.00 billion (estimated)

Entities Eligible to Apply: Funds apportioned directly to eligible designated recipients authorized to receive FTA funding; for urban areas - public authorities, counties and cities. For rural portions of the Authority districts and non-urban counties and cities - public authorities as sub-recipients, counties and cities

Flow of Funds: Urban areas Designated Recipients received funds directly through formula announced in 3/5/09 Federal Register; NYSDOT receives rural funds in FR notice and works with eligible sub-recipients to develop a program of projects to submit to FTA.

Obligation Deadline: 50% obligated within 180 days of apportionment, else redistributed
Remainder within 1 year after apportionment, else redistributed

Reporting Requirements: Areas over 200,000 in population are required to report to the Transportation Infrastructure committee by 4/4/09; The Governor is responsible for this report for the rest of the State; All recipients will have to fill out reporting forms for Section 1512 of the Recovery Act and will have to meet the USDOT requirements of Section 1201 of the Recovery Act.

State Agency: Urbanized area public transportation systems
NYSDOT for rural transit projects.

State Agency Web Site: www.recovery.ny.gov www.nysdot.gov

State Agency Technical Contact: Tom Vaughan - 518-457-7248, tvaughan@dot.state.ny.us
Vicky Forando - 518-485-5402, vforando@dot.state.ny.us

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website should be regularly monitored.***

FFY 2009 American Recovery and Reinvestment Act
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Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: FTA- Fixed Guideway Modernization - Provides funds to modernize and rehabilitate fixed-guideway systems

Federal Agency: Federal Transit Administration, USDOT

Agency Web Site: www.fta.dot.gov

National Total: \$750 million based on Fixed Guideway Modernization formula program

New York State Total: \$254.8 million (estimated)

Entities Eligible to Apply: Funds apportioned directly to the Metropolitan Transportation Authority (MTA) and the Niagara Frontier Transportation Authority (NFTA).

Flow of Funds: Urban areas Designated Recipients received funds directly through formula announced in 3/5/09 Federal Register Notice

Obligation Deadline: 50% obligated within 180 days of apportionment, else redistributed
Remainder within 1 year after apportionment, else redistributed

Reporting Requirements: Areas over 200,000 in population required to report to the Transportation Infrastructure Committee by 4/4/09; All recipients will complete reporting forms for Section 1512 of the Recovery Act and all will have to meet the USDOT requirements of Section 1201 of the Recovery Act.

State Agency: Funds apportioned directly to the MTA and NFTA.

State Agency Web Site: www.recovery.ny.gov
<http://mta.info/>
www.nfta.com

State Agency Technical Contact: MTA – Chris Boylan cboylan@mtahq.org
NFTA – Melissa Golen Melissa_Golen@nfta.com

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FTA – New Starts/Small Starts - Provides the federal share of new fixed-guideway projects, either new systems or extensions to existing systems

Federal Agency: Federal Transit Administration, USDOT

Agency Web Site: www.fta.dot.gov

National Total: \$750 million

New York State Total: N/A

Entities Eligible to Apply: Must be an existing designated recipient for purposes of receiving FTA funding.

Flow of Funds: Funding allocated by FTA to existing designated recipients. Discretionary program. Priority given to projects that are currently in construction or are able to obligate funds within 150 days of enactment.

Reporting requirements: N/A

Obligation Deadline: Funds are available until September 30, 2010

State Agency: N/A

State Agency Web Site: N/A

State Agency Technical Contact: N/A

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HOUSING

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FFY 2009 American Recovery and Reinvestment Act
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Program Information Sheet

Program Description:	Public Housing Capital Fund – The Public Housing Capital Fund provides funds for the capital and management activities of Public Housing Agencies, including modernization and development of public housing. The funds cannot be used for operations or rental assistance.
Federal Agency:	U.S. Department of Housing and Urban Development (HUD) Office of Public and Indian Housing
Federal Agency Website:	http://www.hud.gov/offices/pih/programs/ph/capfund/index.cfm
National Total:	\$4 billion (\$3B to be allocated by formula / \$1B to be awarded by competition)
New York State Total:	No direct funding to any New York State agency. [Public Housing Authorities in New York will directly receive a total of approximately \$502 million if formula funds and an as yet undetermined amount of competitive funds.].
Entities Eligible to Apply:	Public Housing Agencies that own or operate Low Income Public Housing and are eligible to receive capital funding.
Flow of Funds:	Formula: funds will flow directly from HUD to public housing authorities in amounts determined by formula Competitive: funds will flow directly from HUD to awardees it selects as the result of a competition it will administer.
Obligation Deadline:	HUD: Formula funds – March 18, 2009; Competitive grants – September 30, 2009; PHAs: “within one year of the date on which the funds become available to the agency for obligation” (PHAs must expend 60% of funds within 2 years of obligation and 100% within 3 years.)
Reporting Requirements:	ARRA imposes additional reporting requirements beyond the standard reporting requirements for Capital Fund grants. PHAs receiving ARRA Capital Fund grants will be subject to these additional reporting requirements.
State Agency:	Division of Housing and Community Renewal
State Agency Web Site:	www.recovery.ny.gov www.nysdhcr.gov
State Agency Technical Contact:	Brian McCarthy bmccarthy@nysdhcr.gov

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FFY 2009 American Recovery and Reinvestment Act
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Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Native American Housing Block Grant – this HUD program assists federally recognized Indian tribes or their tribally designated housing entities to develop, operate, maintain, and support affordable rental and homeownership housing. Funds can be used for acquisition, new construction, rehabilitation of affordable housing, site improvement, development and rehabilitation of utilities and infrastructure, utility services, conversion, demolition, financing, administration and planning, improvement to achieve greater energy efficiency, mold remediation, investments that leverage private sector funding or financing for renovations, and energy conservation retrofit investments.

Federal Agency: U.S Department of Housing and Urban Development

Federal Agency Website: <http://www.hud.gov/offices/pih/ih/grants/ihbg.cfm>

National Total: \$497,250,000:
\$255,000,000 – allocated by formula
\$242,250,000 – awarded by competition

New York State Total: No direct funding to any New York State agency

Entities Eligible to Apply: Federally recognized Indian tribes or their tribally designated housing entities

Flow of Funds: Formula: HUD will allocate these funds directly to Indian tribes or tribally designated housing entities in a manner consistent with the fiscal year 2008 Indian Housing Block Grant formula
Competitive: HUD will award these funds directly to those Indian tribes or tribally designated housing entities it selects as the result of a national competition it will directly administer.

Obligation Deadline: September 30, 2010

Reporting Requirements: To Be Determined by HUD

State Agency: Division of Housing and Community Renewal

State Agency Web Site: www.recovery.ny.gov www.nysdhcr.gov

State Agency Technical Contact: Brian McCarthy, bmccarthy@nysdhcr.gov

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: New York State Community Development Block Grant (CDBG) Program provides funding to non-entitlement cities, towns, villages and counties to address their community development needs such as housing, public infrastructure, public facilities and economic development

Federal Agency: U.S. Department of Housing and Urban Development (HUD)

Federal Agency Website: www.hud.gov

National Total: \$1 billion

New York State Total: \$12,878,999

Entities Eligible to Apply: Cities, towns and villages with populations under 50,000 and counties with an area population under 200,000

Flow of Funds: HUD distributes CDBG funds annually to States based on a formula which accounts for population size, poverty, incidence of overcrowded housing and age of housing. New York State grants these funds to cities, towns and villages through an application process that evaluates eligibility, need, feasibility and impact. Eligible applicants apply for funding for a specific project or for the implementation of a program such as a housing rehabilitation or homeownership program.

Obligation Deadline: September 30, 2010

Reporting Requirements: As of March 25, 2009, the reporting requirements for the CDBG Program have not been established by HUD. It is anticipated that the existing outcome performance measurement system established by HUD will be augmented to incorporate additional reporting requirements for the reporting of jobs. The current reporting system provides for the collection of information on the outcomes/accomplishments and beneficiaries of CDBG funded activities. Data is collected on each activity funded with CDBG funds and maintained in the Office of Community Renewal's (OCR) Funds Management System (FMS) which electronically interfaces with and transfers data to HUD's Integrated Disbursement and Information System (IDIS). The data supplied to HUD via the IDIS system is the data used by HUD to report annually to Congress on the expenditures and accomplishments of the CDBG Program nationally. The OCR utilizes FMS to manage and track New York State's CDBG funding. The system is a program management system with financial and internal controls that will provide for the collection of AARA data, the tracking of projects and funding and program reporting.

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In addition to the current information collected for infrastructure activities such as the number of persons and households in the project area benefiting from the activity and the number of persons with new access to the public facility, infrastructure or public service; the OCR is prepared to collection additional information such as the number of full-time and part-time jobs created and retained, the income of the jobs created and retained and the classification of each job created or retained.

The OCR is prepared to report the following information as it pertains to the CDBG Program and AARA funding:

1. Name of grantee including their DUNS #, address, contact information, award amount, total project costs, funding sources and project description.
2. Bi-monthly/Quarterly ARRA reporting:
 - a. Funding allocated, committed and expended
 - b. Project status/accomplishments such as the # of jobs

In addition to the required bi-monthly/quarterly reporting, the accomplishments and status of ARRA projects will be included in New York State's Annual Performance Report to HUD.

The current program management process practiced by OCR should address the requirements of ARRA and the Transparency and Accountability requirements. The OCR is prepared to institute new policies and procedures to ensure further compliance with all applicable requirements. All of the data collection and reporting will be accomplished using the FMS which has been utilized by OCR since 2000.

State Agency: NYS Housing Trust Fund Corporation
Office of Community Renewal

State Agency Web Site: www.recovery.ny.gov www.nysocr.org

State Agency Technical Contact: Joe Rabito, Deputy Commissioner
jrabito@nysdhcr.gov

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	The Homelessness Prevention and Rapid Re-Housing Program (HPRP) provides financial assistance and services to persons who are at risk of becoming homeless as well as those who have already lost their housing. There are four categories of activities that can be funded under this program: 1) financial assistance, including short-term (up to 3 months) and “medium”-term rental subsidies (4 to 18 months), security deposits, rent and utility arrears, moving costs and hotel/motel vouchers; 2) housing and relocation services including housing-related case management, outreach and engagement, housing search and payment, legal services to prevent eviction, and credit repair; 3) data collection costs and 4) administrative costs. The funding is targeted to renters, since funding for homeowners who are trying to avoid foreclosure is provided by other mechanisms.
Federal Agency:	U.S. Department of Housing and Urban Development (HUD)
Federal Agency Website:	General: www.hud.gov Specific to this program: www.hudhre.info
National Total:	\$1.5 billion
New York State Total:	\$141.42 million, with \$25.5 million being disseminated through NYS OTDA and the balance through 28 local entitlement areas (cities and counties)
Entities Eligible to Apply:	Local governments and not-for-profit agencies
Flow of Funds:	Funds disseminated through NYS flow from HUD to NYS OTDA to applicants through an RFP process. (Please note that funds are applied for from HUD through an amendment to DHCR’s Consolidated Plan process, but money ultimately flows from HUD directly to OTDA.) The balance of the funds in the State flow directly from HUD to 28 local entitlement areas (cities and counties.)
Obligation Deadline:	September 30, 2009 for funds to be awarded to subgrantees, but an amendment to the State’s Consolidated Plan is due to HUD by May 18, 2009. Requires that 60% of the funding must be spent by July, 2011, or the remaining funding will be recaptured.
Reporting Requirements:	Quarterly within 10 days of the end of the quarter; subgrantees must enter client-level data into a Homeless Management Information System (HMIS) on an ongoing basis
State Agency:	New York State Office of Temporary and Disability Assistance (OTDA)

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State Agency Web Site: www.recovery.ny.gov www.otda.state.ny.us/main/

State Agency Technical Contact: Elizabeth Berlin
 Elizabeth.Berlin@otda.state.ny.us
 (518) 486-5064

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Project-based Rental Assistance (PBRA) to provide full 12-month funding which will enable owners to undertake improvements to maintain the quality of critical affordable housing.

Federal Agency: U.S. Department of Housing and Urban Development

Federal Agency Website: www.hud.gov

National Total: \$2 billion

New York State Total: No direct funding to any New York State agency (Owners of eligible properties in New York State will directly receive \$234,818,320 in additional PBRA)

Entities Eligible to Apply: Owners of properties receiving PBRA pursuant to Section 8 of the Housing Act of 1937 or Section 202 of the Housing Act of 1959 (housing for the elderly) or Section 811 of the National Affordable Housing Act of 1990 (housing for the disabled)

Flow of Funds: Funds will flow from HUD to property owners with PBRA contracts.

Obligation Deadline: Funding will be available until September 30, 2012

Reporting Requirements: To Be Determined by HUD

State Agency: Division of Housing and Community Renewal

State Agency Web Site: www.recovery.ny.gov www.nysdhcr.gov

State Agency Technical Contact: Brian McCarthy, bmccarthy@nysdhcr.gov

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Assisted Housing Stability and Energy and Green Retrofit Investments to enable eligible owners to make energy and green retrofit investments in their property.

Federal Agency: U.S. Department of Housing and Urban Development

Federal Agency Website: www.hud.gov

National Total: \$250 million

New York State Total: No direct funding to any New York State agency (Distribution of funding is yet to be determined)

Entities Eligible to Apply: Owners of properties receiving PBRA pursuant to Section 8 of the Housing Act of 1937 or Section 202 of the Housing Act of 1959 (housing for the elderly) or Section 811 of the National Affordable Housing Act of 1990 (housing for the disabled)

Flow of Funds: HUD will publish a Notice in the Federal Register by April 17, 2009 which will describe how these funds will flow.

Obligation Deadline: Funding will be available until September 30, 2012
Recipients of Energy Retrofit and Green Investment loans must expend funds within 2 years of receipt

Reporting Requirements: To Be Determined by HUD

State Agency: Division of Housing and Community Renewal

State Agency Web Site: www.recovery.ny.gov www.nysdhcr.gov

State Agency Technical Contact: Brian McCarthy, bmccarthy@nysdhcr.gov

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	<p>The Neighborhood Stabilization Program will provide emergency assistance to state and local governments to acquire and redevelop foreclosed properties that might otherwise become sources of abandonment and blight within their communities. NSP grantees develop their own programs and funding priorities. However, NSP grantees must use at least 25 percent of the funds appropriated for the purchase and redevelopment of abandoned or foreclosed homes or residential properties that will be used to house individuals or families whose incomes do not exceed 50 percent of the area median income. In addition, all activities funded by NSP must benefit low- and moderate-income persons whose income does not exceed 120 percent of area median income. Activities may not qualify under NSP using the "prevent or eliminate slums and blight" or "address urgent community development needs" objectives.</p> <p>NSP funds may be used for activities which include, but are not limited to:</p> <ul style="list-style-type: none">• Establish financing mechanisms for purchase and redevelopment of foreclosed homes and residential properties;• Purchase and rehabilitate homes and residential properties abandoned or foreclosed;• Establish land banks for foreclosed homes;• Demolish blighted structures;• Redevelop demolished or vacant properties for residential purposes
Federal Agency:	Department of Housing and Urban Development
Federal Agency Website:	http://www.hud.gov/offices/communitydevelopment/programs/neighborhoodspg/
National Total:	\$2 billion
New York State Total:	Unknown
Entities Eligible to Apply:	States, units of local government, non-profits, consortia of non profits and for-profits working in partnership with non-profits.

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Flow of Funds: HUD-NYS HFA-Local Government/Non profit

Obligation Deadline: 18 months from date HFA receives executed grant contract from HUD.

Reporting Requirements: Extensive, detailed in HUD's Disaster Relief Grant Reporting system (online)

State Agency: New York State Housing Finance Agency

State Agency Web Site: www.recovery.ny.gov www.nyhomes.org

State Agency Technical Contact: Brian Segel, Director Neighborhood stabilization
212 872-0702; bsegel@nyhomes.org

Arlo M. Chase, Esq., SVP for Policy Initiatives
212 872-0307; achase@nyhomes.org

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	The Tax Credit Assistance Program (TCAP) provides funding for investment in Low Income Housing Credit (LIHC) projects. Projects awarded LIHC in Federal fiscal years 2007, 2008, or 2009 are eligible for TCAP funding. LIHC is available on a competitive basis to applicants proposing to acquire, construct, and/or rehabilitate housing for low-income households.
Federal Agency:	U.S. Department of Housing and Urban Development (“HUD”)
Federal Agency Website:	http://www.hud.gov/recovery/tax-credit.cfm
National Total:	\$2.25 billion
New York State Total:	\$252,659,616
Entities Eligible to Apply:	The New York State Division of Housing and Community Renewal (DHCR) is eligible to apply to HUD for New York State’s allocation of TCAP funds. TCAP funds will then be awarded to affordable housing projects awarded LIHC in Federal fiscal years 2007, 2008, or 2009.
Flow of Funds:	TCAP funds have been allocated to New York State via a formula and will be administered by the New York State Division of Housing and Community Renewal. DHCR will award TCAP funds to affordable housing projects awarded LIHC in Federal fiscal years 2007, 2008, or 2009. LIHC is awarded through a competitive process described in DHCR’s LIHC Qualified Allocation Plan and an annual Notice of Credit Availability and Request for Proposals.
Obligation Deadline:	Seventy-five percent of TCAP funds must be committed by February 2010, 75 percent must be expended by February 2011, and 100 percent of the funds must be expended by February 2012.
Reporting Requirements:	DHCR is required to report to HUD 10 days after the end of each calendar quarter, starting on June 10 th , 2009. These reports are to include: <ol style="list-style-type: none">a. The total amount of recovery funds received from HUD;b. The amount of recovery funds received that were expended or obligated to projects or activities; andc. A detailed list of all projects or activities for which recovery funds were expended or obligated.
State Agency:	Division of Housing and Community Renewal
State Agency Web Site:	www.recovery.ny.gov www.nysdhcr.gov

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State Agency Technical Contact: Gary Hallock, ghallock@nysdhcr.gov

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Lead Hazard Reduction – assists urban jurisdictions with the greatest lead paint hazard needs to undertake programs to identify and control those dangers, such as abatement, inspections, temporary relocations, risk assessments and interim control of lead-based hazards in eligible privately owned and multi-family buildings occupied by low income families.

Federal Agency: US Housing and Urban Development

Federal Agency Web Site: www.hud.gov

National Total: \$100 million

New York State Total: \$2,038,081 grant award to City of Utica

Entities Eligible to Apply: ARRA authorized HUD to award grants to those applicants under its fiscal year 2008 Notice of Funding Availability that were qualified for award but were not funded due to limited funds.

Flow of Funds: Directly to the City of Utica. The original grant application submitted by the City of Utica, Oneida County Health Department's Lead Primary Prevention Program and Gro-West, Inc. qualified for the award in November of 2008.

Obligation Deadline: Funds awarded week of February 23, 2009.

Reporting Requirements: Grantee must continue to comply with the usual and customary reporting requirements of the Lead Hazard Reduction Demonstration Grant Program, in addition to specific Recovery Act reporting.

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Section 502 Direct and Guaranteed Loans – The U.S. Department of Agriculture’s Rural Development Housing and Community Facilities Office (USDA/RD) Section 502 programs make and insure mortgage loans which allow low-income individuals or households to purchase homes in rural areas. Funds can be used to build, repair, renovate or relocate a home, or to purchase and prepare sites.

Federal Agency: U.S. Department of Agriculture

Federal Agency Web Site: <http://www.rurdev.usda.gov/rhs/>

National Total: ARRA appropriates \$67 million for Section 502 Direct Loans (which will support an additional \$1 billion in Section 502 direct lending) and \$133 million for Section 502 Unsubsidized Guaranteed Loans (which will insure an additional \$10.472 billion in unsubsidized guaranteed lending).

New York State Total: No direct funding to any New York State agency

Entities Eligible to Apply: Individuals / households

Flow of Funds: For Section 502 Direct Loans – a prospective homeowner applies directly to the USDA/RD field office which makes and holds the mortgage – funds flow from USDA Washington through the USDA field office to the individual borrower.
For Section 502 Unsubsidized Guaranteed Loans – a prospective homeowner applies directly to an approved commercial lender who pays USDA/RD a fee in return for which USDA/RD insures a portion of the mortgage – federal appropriations and lender fees capitalize an insurance loss reserve fund.

Obligation Deadline: September 30, 2010

Reporting Requirements: ARRA imposes additional reporting requirements beyond the standard reporting requirements for recipients of Recovery Act funds. USDA is subject to these additional reporting requirements as well as reporting requirements detailed by the Office of Management and Budget (OMB) ARRA guidance.

State Agency: Division of Housing and Community Renewal

State Agency Web Site: www.recovery.ny.gov www.nysdhcr.gov

State Agency Technical Contact: Brian McCarthy, bmccarthy@nysdhcr.gov

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WORKFORCE, LABOR, AND COMMUNITY SERVICE

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Workforce Investment Act Adult Program- to provide employment and training services to adults through the one-stop system. Training services may include occupational skills training, on-the-job training (OJT), programs that combine workplace training and related instruction including registered apprenticeship and training programs operated by the private sector, skill upgrade and retraining, entrepreneurship training job readiness training, adult education and literacy training and customized training.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$500 million

New York State Total: \$31,516,111

Entities Eligible to Apply: States and Local Areas receive funding based on formula distributions. The State and/or Local Areas may contract with service providers including, but not limited to, institutions of higher education such as community colleges, or other eligible training providers to facilitate the training of multiple individuals or high-demand occupations.

Flow of Funds: NYSDOL administers the funding. At least 85% of funds are passed down to NYS' 33 Local Workforce Investment Areas for adult participant core, intensive, and training services, needs related payments, and supportive services, included local area staff-provided services and other operational costs. The remaining 15% of the funds are retained at the state to support innovative training programs and to support local area oversight, technical assistance and administrative activities. Disbursement occurs at the state and local level.

Obligation Deadline: State obligation and expenditure deadline June 30, 2011.

Reporting Requirements: Performance and Reporting requirements have yet to be released.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Workforce Investment Act Youth Program- to operate expanded Summer Youth employment opportunities, and to provide as many youth as possible with summer employment opportunities and other work experience outside of the summer months. Eligible participants must be 14 up to, and including, 24 years of age and have barriers to employment which are outlined in the Workforce Investment Act.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$1.2 billion

New York State Total: \$71,526,360

Entities Eligible to Apply: States and Local Areas receive funding based on formula distributions. For-profit, not-for-profit, and community based organizations are eligible to apply to both State and Local Workforce Investment Areas to provide youth summer and/or year round youth services.

Flow of Funds: NYSDOL administers the funding. At least 85% of funds are passed down to NYS' 33 Local Workforce Investment Areas for youth participant training and supportive services, local area staff and other operational costs. The remaining 15% of the funds are retained at the state to support innovative training programs and to support local area oversight, technical assistance and administrative activities. Disbursement occurs at the state and local level.

Obligation Deadline: State obligation and expenditure deadline June 30, 2011.

Reporting Requirements: Performance and Reporting requirements have yet to be released.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Workforce Investment Act Dislocated Worker Program- to provide employment and training services to dislocated workers through the one-stop system. Training services may include occupational skills training, on-the-job training (OJT), programs that combine workplace training and related instruction including registered apprenticeship and training programs operated by the private sector, skill upgrade and retraining, entrepreneurship training job readiness training, adult education and literacy training and customized training.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$1.25 billion

New York State Total: \$66,368,188

Entities Eligible to Apply: States and Local Areas receive funding based on formula distributions. The State and/or Local Areas may contract with service providers including, but not limited to, institutions of higher education such as community colleges, or other eligible training providers to facilitate the training of multiple individuals or high-demand occupations.

Flow of Funds: NYSDOL administers the funding. At least 60% of funds will flow to NYS' 33 Local Workforce Investment Areas for core, intensive, and training services, supportive services, and needs related payments, including local area staff provided-services, and other operational costs. States may reserve up to 25% of the funds for Rapid Response activities, such as layoff aversion activities and rapid response outreach teams. The remaining 15% of the funds are retained at the state to support innovative training programs and local area oversight, technical assistance, and administrative activities. Disbursement occurs at the state and local level.

Obligation Deadline: State obligation and expenditure deadline June 30, 2011.

Reporting Requirements: Performance and Reporting requirements have yet to be released.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman, Bruce.Herman@labor.state.ny.us
(518) 485-6410

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Workforce Investment Act Dislocated Worker National Activities Program- funding reserved by Federal Agency for supplemental National Emergency Grants (NEGs) to states upon request to address major economic dislocations, such as plant closures and mass layoffs. Allowable activities are generally consistent with Dislocated Worker funds provided to state by formula, including core, intensive, and training services, needs related payments, and supportive services. Unique to NEG funding is the ability to allow of temporary disaster employment of dislocated workers. Regional Innovation Grants (RIGs) of up to \$250,000 for planning activities in anticipation of major economic dislocations are also available.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$200 million

New York State Total: To be determined

Entities Eligible to Apply: States apply on behalf of affected Local Areas.

Flow of Funds: NYSDOL administers the funding. A negotiated portion reserved for state level administration, reporting, and grant oversight. The majority of the funding is then passed on to the Local Area Project Operators. Total state and local administration costs are normally limited to 10% of the grant. Disbursement occurs at the state and local level.

Obligation Deadline: State obligation and expenditure deadline June 30, 2011.

Reporting Requirements: Performance and Reporting requirements have yet to be released.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Workforce Investment Act Youthbuild provides grants to non-profit organizations to assist high-risk youth between the ages of 16-24 to learn housing construction job skills and to complete their high school education. Program participants enhance their skills as they construct and/or rehabilitate affordable housing for very low, low-income, and homeless persons or families.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$50 million

New York State Total: Not known. These funds are issued by USDOL at the national level through a competitive bid process.

Entities Eligible to Apply: not-for profit organizations capable of serving high-risk youth in the construction trade.

Flow of Funds: Funds will be awarded competitively by USDOL to the not-for profits.

Obligation Deadline: Must be obligated at the federal level by June 30, 2010.

Reporting Requirements: Not known.

State Agency: New York State Department of Labor is considered a contact agency however the program is administered at the national level.

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Workforce Investment Act Competitive Grants for Worker Training & Placement in High Growth & Emerging Industries. Funds are used to develop and implement innovative solutions to workforce challenges identified by targeted industries, including Healthcare and Biotechnology. Each solution should take place in the context of a strategic partnership with public workforce, business and industry representatives and education and training providers.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$750 million

New York State Total: To be determined

Entities Eligible to Apply: States and Local Areas and other public and private entities including employers and educational institutions subject to terms of individual grant solicitations. Collaboration between these groups is encouraged and generally required, with one entity serving as lead applicant and grantee.

Flow of Funds: Funds flow varies based on the individual grant solicitation. The lead applicant and grantee shares funding with the other entities in the strategic partnership. Federal programmatic and fiscal reporting is coordinated by the lead applicant/grantee.

Obligation Deadline: State obligation and expenditure deadline June 30, 2011. Federal obligation or award deadline is June 20, 2010.

Reporting Requirements: Performance and Reporting requirements have yet to be released.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Community Service Employment for Older Americans. Titled “SCSEP – American Recovery Act” the program supplements the Senior Community Service Employment Program (SCSEP) which serves persons with low incomes who are 55 years old or older by providing: up to 20 hours a week of part-time employment in community service assignments; job training and related educational opportunities and placement opportunities.
Federal Agency:	Department of Labor Employment and Training Administration
Federal Agency Web Site:	http://www.doleta.gov/Seniors/eta.gov/
National Total:	\$120 million
Formula or Discretionary:	Formula
New York State Total:	\$1,568,423
Entities Eligible to Apply:	Funds are allocated by formula to the SCSEP participating Area Agencies on Aging (AAA’s).
Flow of Funds:	Approximately, 22 percent of total SCSEP federal funding is allocated by formula to the states. Approximately, 78 percent of the total SCSEP federal funding is allocated to national organization sponsors through a RFP. NYSOFA funds are provided to area agencies on aging SCSEP programs based on a USDOL mandated equitable distribution formula of trainee slots that takes into account the location of the national organization sponsors trainee slots throughout the State. It balances out inequities in counties not served or underserved by the national organization sponsors.
Reporting Requirements:	Separate reporting will be required through the USDOL SCSEP Performance and Results Quarterly Progress Report (SPARQ) system. NYSOFA will also comply with the OMB reporting requirements published in the 4/1/09 Federal Register, pages 14824 – 14828.
Obligation Deadline:	ASAP – no obligation deadline was stated but expenditures incurred between 2/17/09 and 6/30/10 are allowable. NYSOFA received its award on 3/17/09. USDOL expects the program to begin as close to April 1, 2009, as possible.
State Agency:	Office for the Aging (NYSOFA)
State Agency Web Site:	www.recovery.ny.gov www.ofa.state.ny.us

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State Agency Technical Contact:

John J. Lynch, Deputy Director
Division of Finance and Administration
Phone: (518) 474-2631
e-mail: jack.lynch@ofa.state.ny.us
Fax: (518) 474-7949

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website should be regularly monitored.***

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Employment Service Grant / Reemployment Services:
Employment Services funding is to assist persons in One-Stop Career Centers to secure employment and workforce information by providing a variety of services, including job search assistance, skills assessment, and labor market information to job seekers and to employers seeking qualified individuals to fill job openings.
Reemployment Services funding will supplement existing reemployment services to UI claimants and to support integrating Employment Service and UI information technology to identify and serve the needs of the claimants.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$400 million (\$150 million Employment Service and \$250 million Reemployment Services)

New York State Total: \$22,855,217 (\$8,570,706 Employment Services and \$14,284,511 Reemployment Services)

Entities Eligible to Apply: Limited to State Agency administration to expand upon services available to jobseekers and employers.

Flow of Funds: Funds will be used to support state agency administration to support expanded services.

Obligation Deadline: September 30, 2010

Reporting Requirements: Performance and reporting requirements are yet to be released.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Extension of Emergency Unemployment Compensation Program to extend the eligibility period for Emergency Unemployment Compensation through 12/31/2009 and the last compensable week of unemployment to 5/31/2010.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$27,000,000,000

New York State Total: Estimated benefits of \$1,600,000,000
Estimated Administration of \$8,865,000

Entities Eligible to Apply: Unemployment Insurance claimants who exhaust their 26 weeks of regular UI benefits.

Flow of Funds: Benefits - New York State Department of Labor draws down funds daily from the Federal Government to cover payments which are made directly to Unemployment Insurance claimants.
Administration – New York State Department of Labor reports to, and is reimbursed by, the U.S. Department of Labor quarterly based on actual Extended Unemployment Compensation workloads processed.

Obligation Deadline: Benefits - Eligibility ends 12/31/2009, and the last federal compensable week of benefits is 5/31/2010.
Administration – not know at this time, but will be assigned by U.S. Department of Labor when the funds are transmitted to the New York State Department of Labor.

Reporting Requirements: Benefits – Extended Unemployment benefits paid must be broken out between benefits associated the Extended Unemployment program prior to the extension and those associated with the extended program.
Administration - Quarterly reporting of expenditures and obligations against funds received.

State Agency: Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Nancy Dunphy
Nancy.Dunphy@labor.state.ny.us
518-457-5124

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Federal Additional Compensation provides an increase of \$25 in weekly unemployment compensation benefits to individuals to provide additional relief to the unemployed.
Federal Agency:	Department of Labor
Federal Agency Website:	www.dol.gov
National Total:	\$9,000,000,000
New York State Total:	Estimated Benefits of \$510,000,000 Estimated Administration of \$326,488
Entities Eligible to Apply:	Unemployment Insurance claimants eligible to receive regular unemployment insurance benefits, Emergency Unemployment Compensation, 2008, Extended Benefits, Trade Readjustment Allowances, Disaster Unemployment Assistance, Self-employment Assistance, Unemployment Compensation for Federal Employees, and Unemployment Compensation for Ex-Servicemembers.
Flow of Funds:	Benefits - New York State Department of Labor draws down funds daily from the Federal Government to cover payments which are made directly to Unemployment Insurance claimants. Administration – New York State Department of Labor has submitted a supplemental budget request for staff costs associated with programming necessary to implement the program. If approved, the U.S. Department of Labor will provide a notice of obligational authority which will allow the New York State Department of Labor to draw the funds down. Any on-going administration funding will be funded quarterly by the U.S. Department of Labor based on actual costs incurred and reported.
Obligation Deadline:	Benefits – eligibility ends 12/31/09, and the last federal compensable week of benefits is 6/30/2010. Administration – not known at this time, but will be assigned by U.S. Department of Labor when the funds are transmitted to the New York State Department of Labor.
Reporting Requirements:	Benefits – payments associated with the federal additional compensation must be identified separately on the normal benefit reports submitted to the U.S. Department of Labor. Administration - quarterly reporting of obligations and expenditures against funds received once received.

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State Agency: Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Nancy Dunphy
Nancy.Dunphy@labor.state.ny.us
518-457-5124

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Unemployment Insurance Modernization – Benefits provides additional benefit funding to States to be used for the payment of unemployment insurance benefits associated with the implementation of Unemployment Insurance Modernization incentives.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$7,000,000,000

New York State Total: \$412,742,107

Entities Eligible to Apply: States whose state unemployment insurance law already have, or will be amended to include the provisions specified in the American Recovery and Reinvestment Act of 2009.

Flow of Funds: Upon approval of a State’s application demonstrating that their State unemployment insurance law contains certain benefit eligibility criteria, the U.S. Department of Labor will make the funding available for draw down based on formula distribution. To obtain the first 1/3 of funding the state law must provide for either a base period that uses recent wages or an alternative base period that uses recent wages. Once the requirements for the first 1/3 of funding are met, then the remaining 2/3 of funding can be obtained if the state law contains 2 out of 4 options related to benefit eligibility.

Obligation Deadline: Funds must be obligated by the Federal Government by 10/1/2011. Once received by the States, there is no time limit on obligations.

Reporting Requirements: Not aware of any special reporting requirements at this time.

State Agency: Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Nancy Dunphy
Nancy.Dunphy@labor.state.ny.us
518-457-5124

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Unemployment Insurance Modernization – Administration provides additional administration funding to States to be used for the implementation of the Unemployment Insurance Modernization incentive, improved outreach to individuals that may be eligible for regular unemployment compensation under Unemployment Insurance Modernization, improvement of unemployment benefit and tax operations and staff-assisted reemployment services.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$500,000,000

New York State Total: \$29,481,579

Entities Eligible to Apply: All State Agencies that administer the Unemployment Insurance program have received these administration funds.

Flow of Funds: Funds have been made available by the U.S. Department of Labor for drawdown by the State.

Obligation Deadline: Funds were released by Federal Government within 30 days of enactment. There is no obligation deadline for the State to spend the funds.

Reporting Requirements: Quarterly reporting of obligations and expenditures against funds received once received.

State Agency: Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Nancy Dunphy
Nancy.Dunphy@labor.state.ny.us
518-457-5124

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Temporary Assistance for States with Advances / Interest Free Loan provides interest free UI Benefit Federal Loans to States that borrow from the Federal Government to cover Unemployment Insurance benefit payments.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$1,100,000,000

New York State Total: Estimated Interest owed on Federal Loans which will be waived \$118,000,000. Becomes a benefit to employers who would otherwise be taxed a special payroll assessment.

Entities Eligible to Apply: No application is necessary. If a State has outstanding loans, interest will not accrue from February 17, 2009 through December 31, 2010.

Flow of Funds: Funds do not flow to New York State. It is a cost savings of interest which would otherwise be payable to the Federal Government and funded from taxes imposed on employers.

Obligation Deadline: Interest Free period ends 12/31/2010

Reporting Requirements: None

State Agency: Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Nancy Dunphy
Nancy.Dunphy@labor.state.ny.us
518-457-5124

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Trade and Globalization Adjustment Act of 2009 – provides for a dramatic expansion of the Trade Adjustment Assistance (TAA) program which offers benefits and employment services to workers who have lost their jobs or suffered a reduction in their wages or hours as a consequence of increased imports. The new provisions of TAA include expanded coverage of workers, an increase in training funds, increased accessibility and flexibility of TAA programs, and a program for communities affected by trade.

Federal Agency: Department of Labor

Federal Agency Website: www.dol.gov

National Total: \$575 million for training, \$86 million for Administration and Case Management Services and \$18.55 million for additional Case Management Services. Unlike most stimulus funds, this is reauthorization language for a mandated program, and therefore is not expected to also be appropriated in the normal annual appropriation cycle.

New York State Total: Not known. Estimates are \$6.9 million for training and \$1.38 million for case management and administration combined.

Entities Eligible to Apply: State Employment Service Agencies

Flow of Funds: NYS will make the training funds available through the network of 33 Local Workforce Investment Areas.

Obligation Deadline: 90% of the national funding must be obligated by July 15 of each year. FFY 2009 funding lapses for state obligation and expenditure on September 30, 2011, FFY 2010 funding lapses September 30, 2012, and FFY 2011 funding lapses September 30, 2013.

Reporting Requirements: Guidance has yet to be released on reporting.

State Agency: New York State Department of Labor

State Agency Web Site: www.recovery.ny.gov www.labor.state.ny.us

State Agency Technical Contact: Bruce Herman
Bruce.Herman@labor.state.ny.us
(518) 485-6410

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	Office of National and Community Service Volunteer Programs (AmeriCorps)
Federal Agency:	Corporation for National and Community Service
Federal Agency Website:	http://www.nationalservice.org/
National Total:	\$89 million. Of this total \$18 million is for National Direct Grants (programs operating in more than one state).
New York State Total:	<p>New York's Formula allocation is \$1,883,824. States were eligible to submit proposals to compete for funding within the Competitive allocation of \$39,263,340. States were also eligible to request supplemental formula funds beyond their allocation. The supplemental funds will be made available to those who request it if any states do not request their full formula allocation.</p> <p>New York requested its formula allocation for 12 programs, submitted a competitive package requesting \$13,503,227 for 23 programs, and requested one education award program totaling \$3,400. The New York State Commission requested Recovery Act Supplemental Formula AmeriCorps Funding in the amount of \$13,753,048, which would fully fund any of the 23 programs that were recommended and submitted for Competitive consideration should they all not be granted Competitive funding by the Corporation.</p>
Entities Eligible to Apply:	New York State Non-for-Profit Agencies and Municipalities that were operating an AmeriCorps program funded by the Commission on February 17, 2009 were eligible to apply to the State Commission by March 25.
Flow of Funds:	Funding starts at the Corporation for National and Community Service. Grants are made to NYS eligible entities through the NYS Office of National and Community Service.
Obligation Deadline:	Formula Funds announced on April 17, 2009; Competitive Funds announced on May 8, 2009.
Reporting Requirements:	Programmatic and fiscal quarterly reports are due to the Corporation for National and Community Service ten days following each quarter.
State Agency:	Office of Children and Family Services
State Agency Web Site:	www.recovery.ny.gov

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www.ocfs.state.ny.us
www.newyorkersvolunteer.ny.gov

State Agency Technical Contact:

Thomas Tipple
Tom.Tipple@ocfs.state.ny.us
518-486-7218

Mark Walter
Mark.Walter@newyorkersvolunteer.ny.gov
518-473-8882

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HEALTH AND HUMAN SERVICES

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Supplemental Nutrition Assistance Program for Women, Infants and Children (WIC). The WIC program provides grants to states for supplemental foods, health care referrals, and nutrition education for low income pregnant, breastfeeding, and non-breastfeeding postpartum women, and to infants and children up to age five who are found to be at nutritional risk.
Federal Agency:	U. S. Department of Agriculture (USDA)
Federal Agency Website:	www.fns.usda.gov
National Total:	\$500 million: \$400 million for expenses attributable to increased caseload and/or increased food costs; \$100 million in discretionary funds for management information systems (MIS) projects with electronic benefit (EBT) ready activities receiving priority.
New York State Total:	Of the \$400 million, NYS's proportionate share is \$28.6 million; however, final state allotments will be based on need. Projections indicate NYS may need up to \$10 million, but amount could change based on cost of implementation of new WIC food package (phased-in starting January 2009). The \$100 will be million awarded competitively to States with priority to existing EBT projects.
Entities Eligible to Apply:	Department of Health
Flow of Funds:	\$100 million for management information systems (MIS): USDA issued guidance on March 13 2009, that identifies funding priorities as existing EBT projects – NYS is at least 2 years from implementing EBT. USDA may allow states to spend monies awarded for MIS over a 2-3 year period, but projects must be approved by 9/30/09. \$400 million for caseload support: Allocation not yet determined, but it is likely that USDA will allocate funds based on existing monthly caseload and expenditure projections from states which identify a funding shortfall to maintain caseload. Monies likely distributed to in the summer of 2009 as a separate food grant.
Obligation Deadline:	September 30, 2009
Reporting Requirements:	MIS funds require an application and an Advanced Planning Document. USDA monitors expenditures and performance via monthly grant reporting. ARRA funds to be tracked and reported separately but final reporting requirements have not been identified. Reporting requirements for the \$400 million for caseload support have not been set; however, these monies must be tracked separately.

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State Agency: Department of Health

State Agency Web Site: www.recovery.ny.gov www.health.state.ny.us

State Agency Technical Contact: Wendy Saunders Wes02@health.state.ny.us Robert Reed rwr01@health.state.ny.us
518-473-0458 518-474-8565

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Emergency Food Assistance. The Office of General Services (OGS) administers an emergency food program with funds provided by the United States Department of Agriculture which are distributed to the eight region food banks. Additional ARRA Funds will be used to purchase federal foods such as applesauce and cheese and to cover certain administrative costs involved with the food distribution by the food banks. The food banks sub-distribute the federal foods to food pantries and soup kitchens throughout the State serving needy individuals.

Federal Agency: United States Department of Agriculture (USDA)

Federal Agency Website: <http://www.usda.gov>

National Total: \$150,000,000

New York State Total: \$9,846,480

Entities Eligible to Apply: Eight regional food banks:

NEW YORK STATE REGIONAL FOOD BANK COUNTY LISTING							
Food Bank of Northeastern New York	Food Bank of Central New York	Food Bank of the Southern Tier	FoodLink	Food Bank of Western New York	Food Bank for Westchester	Long Island Cares	Food Bank for New York City
Albany	Cayuga	Broome	Allegany	Cattaraugus	Westchester	Nassau	Bronx
Clinton	Chenango	Chemung	Genesee	Chautauqua		Suffolk	Kings
Columbia	Cortland	Schuyler	Livingston	Erie			New York
Delaware	Herkimer	Steuben	Monroe	Niagara			Queens
Dutchess	Jefferson	Tioga	Ontario				Richmond
Essex	Lewis	Tompkins	Orleans				
Franklin	Madison		Seneca				
Fulton	Oneida		Wayne				
Greene	Onondaga		Wyoming				
Hamilton	Oswego		Yates				
Montgomery	St. Lawrence						
Orange							

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Otsego
Putnam
Rensselaer
Rockland
Saratoga
Schenectady
Schoharie
Sullivan
Ulster
Warren
Washington

Flow of Funds: USDA to OGS. OGS to eight regional food banks.

Obligation Deadline: September 30, 2010

Reporting Requirements: Food banks will report to OGS. OGS will report to USDA. USDA has indicated that there will be additional reporting requirements, but they are being developed and have not been released yet.

State Agency: Office of General Services

State Agency Web Site: <http://www.recovery.ny.gov/>
<http://www.ogs.state.ny.us>
<http://www.ogs.state.ny.us/EmergencyFood.html>

State Agency Technical Contact: Tom Osterhout
Tom.Osterhout@ogs.state.ny.us
518-474-5122

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: National School Lunch Program Equipment. The program provides funds to help schools purchase the equipment necessary to prepare meals in a safe and efficient manner.

Federal Agency: U.S. Department of Agriculture (USDA)

Federal Agency Web Site: <http://www.fns.usda.gov/cnd/Lunch/>

National Total: \$100,000,000

New York State Total: \$5,990,474

Entities Eligible to Apply: School Food Authorities (SFAs)

Flow of Funds: Funds awarded by formula from USDA to SED. SED will flow Discretionary funds to Local Education Agencies & Charter Schools using the existing Grants Management System.

Obligation Deadline: September 30, 2011

Reporting Requirements: SFAs will be required to submit information regarding use of equipment grant funds including a list of project and activities undertaken and how the grant improved the infrastructure of the national school lunch program.

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Frances O'Donnell
fodonnell@mail.nysed.gov
518-473-8781

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: NYS Farmers Market Electronic Benefits Transfer Program (EBT) and the NYS Farmers Market Fresh Checks Program. These are part of the overall Supplemental Nutrition Assistance Program. EBT is an electronic system that allows a recipient to authorize transfer of their government benefits from a Federal account to a farmers market retailer account to pay for products received. NY Fresh Checks are an extension of food stamp benefits. It gives food stamp families \$5 coupon incentives for spending a minimum of \$5. They only get one check per market day, The program is administered by the State Department of Agriculture and Markets, in cooperation with the State Department of Health, the State Office for the Aging and Cornell Cooperative Extension.

Federal Agency: USDA Supplemental Nutrition Assistance Program (SNAP). Formerly known as the food stamp program.

Federal Agency Website: <http://www.fns.usda.gov/fsp/>

National Total: The USDA Food and Nutrition Service allocated \$145 million in Year 1 and \$150 million in Year 2.

New York State Total: Approximately \$12 million in each year.

Entities Eligible to Apply: Only states may apply. The assigned agency in New York is the Office for Temporary and Disability Assistance.

Flow of Funds: USDA to NYS to recipient.

Obligation Deadline: Continuous.

Reporting Requirements: Program integrity requirements are in place per previous years and program simplification methods have been created per the 2008 Farm Bill. Federal and state agencies, as well as funders for the NY Fresh Checks require year end sales and redemption reports. To facilitate these reports, each market must maintain detailed EBT and NY Fresh Check Issuance and Redemption Logs. Copies of each must be available monthly.

State Agency: NYS Office of Temporary and Disability Assistance.

State Agency Web Site: www.recovery.ny.gov www.otda.state.ny.us

State Agency Technical Contact: OTDA, Albert Rios (518) 473-9696, and Agriculture and Markets, Jonathan Thomson (518) 457-7076.

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Health Information Technology. Standards-related research that supports the security and interoperability of electronic medical records to reduce health care costs and improve the quality of care.

Federal Agency: National Institute of Standards and Technologies (NIST)

Federal Agency Web Site: <http://www.nist.gov>

National Total: \$20 million

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive, funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Health Insurance Tax Credit Administration

Federal Agency: Internal Revenue Service

Federal Agency Website: <http://www.irs.gov/>
<http://www.irs.gov/individuals/article/0,,id=109960,00.html>

National Total: \$80 million to implement the health insurance tax credit under the TAA Health Coverage Improvement Act of 2009

New York State Total: N/A

Entities Eligible to Apply: Individuals eligible for Health Coverage Tax Credit (HCTC) will be affected

Flow of Funds: Refundable tax credit increases from 65% to 80% (of qualified health insurance premiums)

Obligation Deadline: September 30, 2010 (TAA Health Coverage Improvement Act of 2009 expires December 31, 2010; at this time, the changes to the HCTC are only valid for the remainder of 2009 and 2010)

Reporting Requirements: N/A

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Indian Health Services (IHS) - Health Information Technology Activities, including telehealth services development, resource and patient management system, RPMS, electronic health records, and infrastructure

Federal Agency: U.S. Department of Health and Human Services - Indian Health Service

Federal Agency Web Site: www.ihs.gov

National Total: \$85 million

New York State Total: Unknown

Entities Eligible to Apply: Approximately 95% of expenditures through commercial contracts and P.L. 93-638 contracts with a Tribe or Tribal organization. IHS has several existing GSA competitively awarded contract for infrastructure modernization relating to security, networking, communications, and health information technology.

Flow of Funds: From DHHS / IHS directly to Indian tribes and tribal organizations using competitively awarded IT system design, software and hardware contracts.

Obligation Deadline: DHHS / IHS expects to obligate approximately 60% of the funds in FY2009, 40% in FY2010

Reporting Requirements: As required by ARRA, IHS filed a report with Congress on March 19, 2009, on how it intends to spend the \$85 million for health information technology

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders
wes02@health.state.ny.us
518-473-0458

Robert Reed
rwr01@health.state.ny.us
518-474-8565

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Indian Health Services Health Care Facilities Construction Program to allow the completion of facilities

Federal Agency: U. S. Department of Health and Human Service / Indian Health Services (IHS)

Federal Agency Web Site: www.ihs.gov

National Total: \$227 million

New York State Total: Unknown, but none expected

Entities Eligible to Apply: No new applications being accepted. Awards based on previous year's unfunded, successful projects.

Flow of Funds: Both projects to be selected from the health facilities construction priority list and under construction. Completion of the Nome, Alaska, replacement facility to be implemented by direct Federal, open competition contract.

Obligation Deadline: HIS anticipates 75% funds obligated in FY2009, 25% in FY2010

Reporting Requirements: As required by ARRA, IHS filed a report with Congress on March 19, 2009, on how it intends to spend the \$227 million for health information technology

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Federally Qualified Health Centers (FQHC) – Services. FQHCs provide healthcare services to low-income and medically underserved communities. These include: Community Health Centers, Immigrant/Migrant Health Care Clinics, and Public Housing Primary Care Clinics. FQHCs provide general health and wellness care, dental care, mental health and substance abuse care, regardless of the patient’s ability to pay. ARRA includes funds for grants to support new sites and service areas, increase services at existing sites, and provide supplemental payments for spikes in uninsured populations to health centers that receive operating grants under section 330 of the Public Health Service (PHS) Act, as amended (42U.S.C. 254b).
Federal Agency:	Department of Health and Human Services / Health Resources and Services Administration (HRSA)
Federal Agency Web Site:	http://www.hrsa.gov/ http://bphc.hrsa.gov/recovery/default.htm
National Total:	\$500 million
New York State Total:	Unknown - Funds do not flow to, or through, the State
Entities Eligible to Apply:	All categories of Section 330 community health centers.
Flow of Funds:	Funds distributed on a project-by-project basis to assist medically underserved areas, so designated by HHS after consulting with states and local health officials.
Obligation Deadline:	HRSA to award approximately \$340 million, through formula allocation grants, for one-time Increased Demand for Services (IDS) funding in fiscal year (FY) 2009 to support existing Section 330 funded health centers. IDS funding will be awarded for a 2-year project period (i.e., funds will only be available for two years). Applications for grants were due March 16, 2009; community health centers were advised by HRSA as to the maximum IDS amount they were eligible to apply for. Balance of ARRA funds intended use not released by HHS / HRSA.
Reporting Requirement:	Grantees must continue to comply with the usual and customary reporting requirements of the Health Center Program, in addition to specific Recovery Act reporting.
State Agency:	None
State Agency Web Site:	www.recovery.ny.gov

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State Agency Technical Contact:

Wendy Saunders
wes02@health.state.ny.us
518-473-0458

Robert Reed
rwr01@health.state.ny.us
518-474-8565

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Federally Qualified Health Centers (FQHC) – Infrastructure. FQHCs provide healthcare services to low-income and medically underserved communities. These include: Community Health Centers, Immigrant/Migrant Health Care Clinics, and Public Housing Primary Care Clinics. FQHCs provide general health and wellness care, dental care, mental health and substance abuse care, regardless of the patient’s ability to pay. ARRA includes funds for the construction and expansion of FQHC facilities, equipment, and health information technology.

Federal Agency: Department of Health and Human Services

Federal Agency Web Site: <http://www.hrsa.gov/>

National Total: \$1.5 billion

New York State Total: To date, \$7,068,705 of \$155 million released on March 2, 2009 for New Access Point grants:

Staten Island: Beacon Christian Community Health Center	\$1,300,000
Bronx: Urban Health Plan, Inc.	\$1,300,000
Bronx: Bronx Community Health Network, Inc.	\$ 697,149
New York: Wm. F. Ryan Community Health Center	\$1,300,000
Long Island City: The Floating Hospital	\$1,300,000
Penn Yan: Finger Lakes Migrant Health Project	\$1,171,556

Entities Eligible to Apply: Section 330 community health centers

Flow of Funds: Grants from HSRA directly to approved projects.

Obligation Deadline: Varies by initiative

Reporting Requirements: Grantees must continue to comply with the usual and customary reporting requirements of the Health Center Program, in addition to specific Recovery Act reporting.

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Health Workforce Training to increase the number of qualified health professionals and provide training in those health care specialties most needed to deliver quality primary health care services in areas of greatest need. Specialties include: nursing, physician assistants, dentists, radiological technicians, and others. Funding supports scholarships and awards to increase capacity at training centers and academic health profession schools.

Federal Agency: Department of Health and Human Services/Health Resources and Services Administration (HRSA)

Federal Agency Web Site: <http://www.hrsa.gov/>

National Total: \$500 million: \$300 million for workforce training; \$200 million for discipline support

New York State Total: Unknown; funds awarded directly to training professionals.

Entities Eligible to Apply: To date, the only initiative announced is additional funding for the National Health Service Corps Scholarship Program where primary care physicians and nurse practitioners, midwives, dentists and physician assistants are eligible to apply for tuition, fees, other reasonable costs and living expenses. Applications due April 6, 2009. 80 scholarships expected to be awarded, nationwide.

Flow of Funds: Discretionary

Obligation Deadline: This initiative is for the 2009-2010 academic year.

Reporting Requirements: Successful scholarship recipients must practice their profession for a minimum of two years for each year of support in designated high need areas. Evidence of fulfilling commitment is required.

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: National Institutes of Health – National Center for Research Resources. Grants to Construct, Repair and Renovate existing non-Federal research facilities and to purchase shared instrumentation

Federal Agency: U. S. Department of Health and Human Services, National Institutes of Health, National Center for Research Resources

Federal Agency Web Site: http://www.ncrr.nih.gov/the_american_recovery_and_reinvestment_act/

National Total: \$1.3 billion

New York State Total: Unknown, funds awarded directly to facilities.

Entities Eligible to Apply: Public/State Controlled Institutions of Higher Education, Non-profit Private Institutions of Higher Education, Non-profit Hispanic-serving Institutions, Non-profit Historically Black Colleges and Universities (HBCUs), Non-profit Tribally Controlled Colleges and Universities (TCCUs), Nonprofits with 501(c)(3) IRS Status (Other than Institutions of Higher Education), Nonprofits without 501(c)(3) IRS Status (Other than Institutions of Higher Education), Indian/Native American Tribal Governments (Federally Recognized), Indian/Native American Tribally Designated Organizations, Indian/Native American Tribal Governments (Other than Federally Recognized), Regional Organizations

Flow of Funds: Grants directly to research facility. Competitive process – RFP’s have been announced

Obligation Deadline: Three requests for applications, one for core facilities improvement projects and another for construction, renovation and repair improvement projects, and a third for high-end instrumentation have been announced with filing deadlines between May 6 and September 17, 2009, depending on purpose and amount requested.

Program	Application Deadline
Core Facility Renovation, Repair and Improvement	9/17/09
Extramural Research Facilities Improvement	5/6/09 (\$2m-\$5m)
	6/17/09 (\$10m-\$15m)
	7/17/09 (\$5m - \$10m)
High End Instrumentation	5/6/09

Reporting Requirements: To protect the Federal interest in real property that has undergone major renovation with NIH grant funds, grantees will record a Notice

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of Federal Interest (NFI). Grantees must comply with the requirements set forth in the Recovery Act, including, but not limited to, the reporting requirements described in Section 1512 of the Recovery Act, as well as applicable OMB guidance regarding the use of Recovery Act funds. An annual progress report is required for the 10 years of Federal interest in the facility as a condition of the award and must include a list of publications "originating from the use" of this project facility. This list should be limited to those scientific papers acknowledging NCCR support including grant numbers. Failure to comply with the 10-year utilization requirement will result in recovery of the Federal Share of funds used to renovate the facility in accordance with Title VII of the Recovery Act and 45 CFR 74.32. In addition, grantees must comply with the requirements set forth in the Recovery Act, including, but not limited to, the quarterly reporting requirements of Section 1512 of the Recovery Act as specified in HHS Standard Terms and Conditions for American Recovery and Reinvestment Act of 2009.

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: National Institutes of Health – Office of the Director. Supporting scientific research related activities through such initiatives as Challenge Grants and other NIH sponsored research

Challenge Grants in Health and Science Research address specific challenges in biomedical and behavioral research and/or public health that would benefit from a significant 2-year jumpstart of funds.

Federal Agency: U. S. Department of Health and Human Services, National Institutes of Health

Federal Agency Web Site: http://www.ncrr.nih.gov/the_american_recovery_and_reinvestment_act/

National Total: \$8.2 billion

New York State Total: Unknown

Entities Eligible to Apply: Funds to remain with Federal agency, Office of the NIH Director; however, with prohibitions against internal transfers.

Eligible to apply for \$200 million in Challenge Grants are: States, counties, cities, special districts, school districts, institutions of higher education, non-profits and for-profit businesses, among others. Applications due April 27, 2009.

Flow of Funds: Two year Challenge Grants in FY2009-2010 to fund 200 or more grants; comparative effectiveness research (CER) may be available to support additional grants

Obligation Deadline: \$7.4 billion added to FFY09 appropriations for formula based allocations to the Institutes and Centers and NIH Common Fund

Reporting Requirements: Continuation of NIH grant requirements coupled with ARRA reporting mandates.

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders
wes02@health.state.ny.us
518-473-0458

Robert Reed
rwr01@health.state.ny.us
518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: National Institutes of Health – Buildings & Facilities. High Priority Repair, Construction, Improvement Projects at Bethesda, Maryland NIH Campus and Other Federal Locations

Federal Agency: U. S. Department of Health and Human Services, National Institutes of Health (NIH)

Federal Agency Web Site: http://www.ncrr.nih.gov/the_american_recovery_and_reinvestment_act/

National Total: \$500 million

New York State Total: \$0

Entities Eligible to Apply: Federal NIH facilities

Flow of Funds: Funds to remain in NIH

Obligation Deadline: Unknown

Reporting Requirements: Unknown

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders
wes02@health.state.ny.us
518-473-0458

Robert Reed
rwr01@health.state.ny.us
518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Comparative Effectiveness Research to provide patients, clinicians and others with evidence-based information to make decisions about health care.
Federal Agency:	U. S. Department of Health and Human Services, Agency for Healthcare Research and Quality (AHRQ)
Federal Agency Web Site:	http://www.ahrq.gov/fund/cefarr.htm
National Total:	\$1.1 billion: \$300 million to build on existing collaborative and transparent Effective Health Care program which allows for input from all perspectives into the development of research and implementation of funds; \$400 million each to NIH and HHS Secretary.
New York State Total:	Unknown
Entities Eligible to Apply:	Grants through State or directly to Municipality; directly to research facilities; HHS or other governmental agencies, as well as private sector entities.
Flow of Funds:	A Coordinating Council has been appointed to guide investments. The council will provide input on priorities for spending the \$400 million. There is a listening session scheduled for 4/14/09. Competitive funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.
Obligation Deadline:	HHS to contract with Institute for Medicine (\$1.5 million cap) to report to Congress by 6/30/09 on national priorities, and consider its recommendations along with those of the Federal Coordinating Council for Comparative Effectiveness Research (established by ARRA) before making grants and contracts with entities with demonstrated experience and capacity to achieve the goals of comparative effectiveness research.
Reporting Requirements:	HHS, jointly with AHRQ and NIH, must submit to Congress an operating plan for FFY09 by 7/30/09, and for FFY10 by 11/1/09, specifying the allocation of resources. In addition, a report on actual obligations, expenditures and unobligated balances for each funded activity must be submitted to Congress by November 1, 2009 and every six months thereafter as long as funding is available for obligation or expenditure.
State Agency:	None
State Agency Web Site:	www.recovery.ny.gov

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State Agency Technical Contact:

Wendy Saunders
wes02@health.state.ny.us
518-473-0458

Robert Reed
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518-474-8565

Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Child Care Subsidy and Quality Activities to allocate subsidies to local social services districts and improve the quality of child care programs in NYS.

Federal Agency: Department of Health and Human Services

Federal Agency Website: <http://hhs.gov>

National Total: \$161.6 million targeted for child Care Quality Expansion
\$93.6 million targeted for Infant/Toddler Activities
\$1.75 billion for Non-targeted Funds for Child Care Subsidies and/or Quality

New York State Total: It is estimated that NYS will receive \$115m over two years. Final allocations have not been provided by the federal government.

Entities Eligible to Apply: OCFS

Flow of Funds: Federal funds, once allocated, will be provided to NYS. The funds will be allocated to local county departments of social services for child care subsidies. A portion will be available for quality initiatives consistent with federal guidelines.

Obligation Deadline: September 30, 2010 for FFY 2009 funds and September 30, 2011 for FFY 2010. It is possible that the entire amount may be made available during the FFY 2009.

Reporting Requirements: We anticipate monthly reporting for fiscal and program details. Final requirements from HHS have not been provided.

State Agency: Office of Children and Family Services (OCFS)

State Agency Web Site: www.recovery.ny.gov www.ocfs.state.ny.us

State Agency Technical Contact: Thomas Tipple
Tom.Tipple@ocfs.state.ny.us
518-486-7218

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Community Services Block Grant This is a federal program created by Congress in 1981 for the purpose of alleviating poverty nationwide. Federal funds are provided to New York State, which distributes and administers those funds to a statewide network of local organizations. These local organizations consist of community action agencies (CAAs), community action programs (CAPs), public organizations, the statewide migrant and seasonal farmworker organization, Indian Tribes and Tribal organizations, and faith-based and other community based organizations. Through these agencies, CSBG funds are used for programs and services designed to reduce poverty, revitalize low-income communities, and empower low income families and individuals to become economically self-sufficient and self-reliant. The ARRA provided additional funds under this rubric.
Federal Agency:	Department of Health and Human Services
Agency Website:	http://www.hhs.gov
National Total:	\$1,000,000,000
New York State Total:	Approximately \$86,300,000 (8.63% of National Total)
Entities Eligible to Apply:	Monies are disbursed according to a formula set forth by federal statute to designated community action agencies. As New York's current enacting statute does not allow for the designation of new entities, monies must be distributed to currently designated entities in the percentage as they have been in prior years.
Flow of Funds:	Once received, the Secretary of State is authorized to allocate CSBG funds pursuant to contracts\ in the manner required by federal law. ARRA mandates that the State pass through 99% of their federal block grant allotments to "eligible entities." The New York State network of eligible entities is comprised of 52 grantees, serving all 62 counties of the State, and four Indian Tribes/Tribal organizations for a total of 56 entities.
Obligation Deadline:	End FFY 2010
Reporting Requirements:	New York State must submit an application to the federal Secretary of Health and Human Services for each fiscal year in which it desires to acquire CSBG funds and certify that it agrees to specified conditions. The New York State Department of State, Division of Community Services, as the Governor's designated lead agency, must prepare and furnish to the Secretary of HHS a state plan that contains provisions

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describing how the State will carry out these conditions. Upon approval of the application and receipt of notice of funding availability, the State may designate and expend CSBG funds. There are no requirements attached to this section of ARRA. HHS has the ability, however, to audit any State to assure compliance with the approved State plan.

State Agency: Department of State

Agency Website: www.recovery.ny.gov <http://dos.state.ny.us>

State Agency Technical Contact: George Stafford, Deputy Secretary of State
(518) 474-3643

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: This Senior Nutrition Program is limited to the actual provision of meals to the elderly. Funding is divided between meals served in congregate settings and home delivered meals. Meals served in congregate setting constitute 67 percent of total funding or \$4,148,718. Home delivered meals comprise 33 percent of total funding or \$2,042,446.

Federal Agency: Department of Health & Human Services Administration on Aging

Federal Agency Website: <http://www.aoa.gov/>

National Total: \$100,000,000

New York State Total: \$6,191,164

Entities Eligible to Apply: County Area Agencies on Aging (AAA's) receive funding based on allocation formulae for both the congregate and home delivered meals programs.

Flow of Funds: The Administration on Aging distributes the funds by formula to the States. In turn, the States distribute the funds by formulae to the AAA's. The AAA either provides the meals to the seniors directly or by a contract with a local service provider.

Reporting Requirements: AoA requires separate reporting of ARRA funding. Starting with the quarter ending June 30, 2009, quarterly reports are required. NYSOFA will comply with the AoA reporting requirements as well as OMB requirements published in the 4/1/09 Federal Register, pages 14824 – 14828.

Obligation Deadline: ASAP – Funds are available for expenditures from 3/17/09 to 9/30/10. NYSOFA received its award on 3/18/09.

State Agency: Office for the Aging

Agency Web Site: www.recovery.ny.gov www.ofa.state.ny.us

State Agency Technical Contact: John J. Lynch
Deputy Director
Division of Finance and Administration
Phone: (518) 474-2631
e-mail: jack.lynch@ofa.state.ny.us
Fax: (518) 474-7949

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Funding support for the Office of the National Coordinator for Health IT (ONCHIT), including grant and loan opportunities and other programs for health information technology. Seeks to create a nationwide health information technology infrastructure to improve health care quality and coordination and reduce medical errors and costs.

Federal Agency: U. S. Department of Health and Human Services

Federal Agency Website: www.hhs.gov

National Total: \$2 billion

New York State Total: Portion appears discretionary and the formula is to be announced with a final plan developed by the ONCHIT.

Entities Eligible to Apply: Not yet available but will likely include States and State designated entities that meet specific criteria. For some programs (loan programs and research and training grants, for example), eligible applicants may include health care providers such as hospitals, higher education, and non-profit grant research initiatives.

Flow of Funds: Unknown

Obligation Deadline: Unknown. Dependent on ONCHIT plan for distributing funds that must be place by the fall of 2009.

Reporting Requirements: No details available

State Agency: Department of Health

State Agency Web Site: www.recovery.ny.gov www.health.state.ny.us

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: PHSSEF Information Technology Security

Federal Agency: U. S. Department of Health and Human Service

Federal Agency Web Site: www.hhs.gov

National Total: \$50 million

New York State Total: \$0

Entities Eligible to Apply: Funds remain at HHS to be awarded as contracts to improve information technology within the Department.

Flow of Funds: Contracts from HHS to vendors.

Obligation Deadline: Additional amount to Public Health and Social Services Emergency Fund (PHSSEF) appropriations for FY 2009

Reporting Requirements: Unknown

State Agency: None

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Prevention and Wellness Fund Grants for immunization and vaccines; hospital infection control; and evidence based clinical and community based prevention strategies.
Federal Agency:	Department of Health and Human Services (HHS) Centers for Disease Control and Prevention (CDC)
Federal Agency Web Site:	www.cdc.gov www.hhs.gov
National Total:	\$1 billion
New York State Total:	For immunization, expected to be \$7.3 million for New York State and \$5.4 million for New York City. Unknown for hospital infection control and evidence based clinical and community based prevention strategies.
Entities Eligible to Apply:	For immunization and vaccines, 64 existing Section 317 categorical grant projects may be eligible. For community-based funds, unknown; but, CDC may retain some for Federal projects and/or add to existing cooperative agreements with states or other agencies and organizations, who may, in turn, dedicate funds to their own programs or to community programs through existing contracts or new procurements. Unknown for hospital infection control and evidence based clinical and community based prevention strategies.
Flow of Funds:	<ul style="list-style-type: none">● Of the \$300 million for immunizations and vaccines:<ul style="list-style-type: none">• \$32 million for CDC's internal use• \$200 million for vaccine purchase• \$50 million for states' operation of immunization and vaccine programs (non-competitive)• \$23 million for states to compete for immunization and vaccine-related activities.● \$650 million to CDC to develop community-based prevention and wellness strategies to address chronic disease rates● \$50 million for hospital infection control to:<ul style="list-style-type: none">• CDC for surveillance, education, and outreach• Centers for Medicare and Medicaid Services (CMS) for ambulatory care-related programs

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- Agency for Health Quality Research (AHQR) for research on effective prevention models.

HHS has 90 days (mid-May) to present a detailed plan to Congress.

Obligation Deadline:	Unknown	
Reporting Requirements:	Unknown	
State Agency:	Department of Health	
State Agency Web Site:	www.recovery.ny.gov	www.health.state.ny.us
State Agency Technical Contact:	Wendy Saunders wes02@health.state.ny.us 518-473-0458	Robert Reed rwr01@health.state.ny.us 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Vocational Rehabilitation Services Program under Part B, Title I, funding for services for legally blind residents for vision rehabilitation services relating to job training, job readiness skills, and job placement.

Federal Agency: U.S. Department of Education, Rehabilitation Services Administration

Federal Agency Website: <http://www.ed.gov/about/offices/list/osers/rsa/index.html?src=mr>

National Total: \$540 million

New York State Total: \$25,694,844 for state; \$4,111,175 for CBVH – 50 percent of the CBVH funds have already been received. The 50 percent of the remainder will be distributed on or before September 30, 2010 based on federal reporting guidelines yet to be released.

Entities Eligible to Apply: For-profit and Not-for-Profit corporations

Flow of Funds: The U.S Department of Education grants this money to states through formula. The State Education Department’s Office of Vocational and Educational Services for Individuals with Disabilities receives 84 percent of the total state funding. The Office of Children and Family Services’ Commission for the Blind and Visually Handicapped receives 16 percent of the state funding. There is a required state match of 21.3 percent.

Obligation Deadline: September 30, 2011

Reporting Requirements: The guidelines for reporting have not yet been released. The U.S. Department of Education has informed states that reporting will be based on the calendar quarter and each state has 10 days after each calendar quarter to report. The first quarterly report is due no later than July 10, 2009.

State Agency: NYS Office of Children and Family Services’ Commission for the Blind and Visually Handicapped; State Education Department

State Agency Web Site: www.recovery.ny.gov www.ocfs.state.ny.us/main/
www.ocfs.state.ny.us/main/cbvh/ <http://www.vesid.nysed.gov/>

State Agency Technical Contact: Thomas Tipple Rosemary E. Johnson
Tom.Tipple@ocfs.state.ny.us rjohnson@mail.nysed.gov
518-486-7218 518-486-4038

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Independent Living Part B Funds to promote independence among people with disabilities. Independent Living Centers (ILCs) provide an array of services that assist New Yorkers with disabilities to live integrated and self-directed lives. ILCs assist with living, learning and earning and work to remove barriers to full participation in to the local community and beyond. VESID administers base funding for 39 Independent Living Centers (ILCs) throughout the state.

Federal Agency: U.S. Department of Education, Rehabilitation Services Administration

Federal Agency Website: <http://www.ed.gov/about/offices/list/osers/rsa/index.html?src=mr>

National Total: \$140,000,000 Total - \$18,200,000 for State Grants, \$87,500,000 for Centers for Independent Living, \$34,300,000 for Older Blind Programs

New York State Total: \$856,844 for State Grants; \$2,297,730 for the Older Blind Programs; in the '09-'10 budget, \$900,000 was appropriated for ILCs

Entities Eligible to Apply: Independent Living Centers; States; not-for-profits

Flow of Funds: The U.S Department of Education grants this money to states through formula. The State Education Department's Office of Vocational and Educational Services for Individuals with Disabilities receives 84 percent of the total state funding. The Office of Children and Family Services' Commission for the Blind and Visually Handicapped receives 16 percent of the state funding. There is no required state match. Grants for ILCs are competitive, but application requirements have not yet been released.

Obligation Deadline: September 30, 2011

Reporting Requirements: The guidelines for reporting have not yet been released.

State Agency: NYS Office of Children and Family Services' Commission for the Blind and Visually Handicapped; State Education Department

State Agency Web Site: www.recovery.ny.gov www.ocfs.state.ny.us/main/
www.ocfs.state.ny.us/main/cbvh/ <http://www.vesid.nysed.gov/>

State Agency Technical Contact: Thomas Tipple Rosemary E. Johnson
Tom.Tipple@ocfs.state.ny.us rjohnson@mail.nysed.gov
518-486-7218 518-486-4038

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Independent Living for Blind Individuals, including Older Blind as authorized by Parts B and C, chapter 1 and 2 of Title VII of the Rehabilitation Act of 1973 as amended. Services to promote independent living for legally blind state residents 55 years of age and older.

Federal Agency: U.S. Department of Education, Rehabilitation Services Administration

Federal Agency Website: <http://www.ed.gov/about/offices/list/osers/rsa/index.html?src=mr>

National Total: \$34,300,000

New York State Total: \$2,297,730

Entities Eligible to Apply: For-profit and Not-for-profit corporations

Flow of Funds: The U.S. Department of Education grants this formula funding to states with a required 10 percent state match. Some states choose not to match the entire amount or otherwise turn back some or all of the funds. Any funds turned back by states are distributed to other states based on an application process.

Obligation Deadline: September 30, 2011

Reporting Requirements: The guidelines for reporting have not yet been released. The U.S. Department of Education has informed states that reporting will be based on the calendar quarter and each state has 10 days after each calendar quarter to report. The first quarterly report is due no later than July 10, 2009.

State Agency: NYS Office of Children and Family Services' Commission for the Blind and Visually Handicapped; State Education Department

State Agency Web Site: www.recovery.ny.gov www.ocfs.state.ny.us/main/
www.ocfs.state.ny.us/main/cbvh/ <http://www.vesid.nysed.gov/>

State Agency Technical Contact: Thomas Tipple Rosemary E. Johnson
Tom.Tipple@ocfs.state.ny.us rjohnson@mail.nysed.gov
518-486-7218 518-486-4038

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Health Care Information Enterprise Integration Research Centers. Assistance to institutions of higher education to establish multidisciplinary centers that includes cutting-edge, multidisciplinary research on health delivery.

Federal Agency: National Institute of Standards and Technologies (NIST)

Federal Agency Web Site: <http://www.nist.gov>

National Total: \$300 million

New York State Total: N/A

Entities Eligible to Apply: TBD

Flow of Funds: Competitive, funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Information Technology Professionals in Health Care

Federal Agency: National Science Foundation

Federal Agency Web Site: <http://www.nsf.gov>

National Total: TBD

New York State Total: N/A

Entities Eligible to Apply: TBD

Flow of Funds: Competitive

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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Instructions for application will be announced by the relevant funding agency; their
website should be regularly monitored.***

EDUCATION AND THE ARTS

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: ESEA, Title I Grants to LEAs (Targeted and EFIG). This program provides financial assistance to LEAs and schools with high numbers or high percentages of poor children to help ensure that all children meet challenging state academic standards. Targeted Grants (NCLB Section 1125) are based on the same data used for Basic and Concentration Grants except that the data are weighted so that LEAs with higher numbers or higher percentages of poor children receive more funds. Targeted Grants flow to LEAs where the number of schoolchildren counted in the formula (without application of the formula weights) is at least 10 and at least 5 percent of the LEA's school-age population. Education Finance Incentive Grants (EFIGs) (NCLB Section 1125a) distribute funds to states based on factors that measure: (a) a state's effort to provide financial support for education compared to its relative wealth as measured by its per capita income and (b) the degree to which education expenditures among LEAs within the state are equalized.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$10,000,000,000

New York State Total: \$907,152,149; in the '09-'10 budget, \$454 million was appropriated for this purpose

Entities Eligible to Apply: Public school districts and charter schools

Flow of Funds: Funds awarded from USDOE to SED. SED will flow funds to Local Education Agencies (LEA) & Charter Schools using the existing Grants Management System.

Obligation Deadline: September 30, 2011

Reporting Requirements: Each LEA receiving funds shall report a school-by school listing of per pupil educational expenditures from state and local sources.

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Roberto Reyes
rreyes@mail.nysed.gov
518-473-0295

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: ESEA, Title I-A (School Improvement) (NCLB Section 1003). The purpose of Title I, Part A of the No Child Left Behind (NCLB) Act is to ensure that all children have a fair, equal, and significant opportunity to obtain a high-quality education and reach, at a minimum, proficiency in challenging State academic achievement standards and State academic assessments. It provides financial assistance through State education agencies to local educational agencies to meet the educational needs of children who are failing, or are most at risk of failing the State's challenging academic achievement standards and State academic assessments in schools with high concentrations of children from low-income families.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$3,000,000,000

New York State Total: \$260,037,000; in the '09-'10 budget, \$127 million was appropriated for this purpose

Entities Eligible to Apply: Public school districts and charter schools

Flow of Funds: Funds awarded by formula from USDOE to SED. SED will flow Discretionary funds to Local Education Agencies (LEA) with one or more schools in need of improvement that have been identified in accountability status & Charter Schools using the existing Grants Management System.

Obligation Deadline: September 30, 2011

Reporting Requirements: Each LEA receiving funds shall report a school-by school listing of per pupil educational expenditures from state and local sources.

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Roberto Reyes
rreyes@mail.nysed.gov
518-473-0295

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Impact Aid Construction. Under section 8007 of Title VIII of the Elementary and Secondary Education Act of 1965, as amended (ESEA).
Federal Agency:	U.S. Department of Education (USDOE)
Federal Agency Web Site:	http://www.ed.gov/index.jhtml
National Total:	\$100 million (\$39.6 million for formula grants, \$59.4 million for competitive grants, \$1.0 million for management and oversight)
New York State Total:	\$620,991
Entities Eligible to Apply:	<p>For Formula Grants, the Department of Education (ED) will award grants to 180 local educational agencies (LEAs) that are eligible as a result of their enrollment of certain numbers and types of federally connected children for whom they receive funds under section 8003 of the Impact Aid Program (Basic Support Payments).</p> <p>For Competitive Grants, LEA's must:</p> <ul style="list-style-type: none">▪ Be eligible to receive funds under section 8002 (Payments for Federal Property) or 8003 (Basic Support Payments) of the Impact Aid program for fiscal year (FY) 2008; and▪ Have a total taxable assessed value of real property that may be taxed for school purposes of less than \$100 million or have an average assessed value of real property per pupil that may be taxed for school purposes that is less than the State average.
Flow of Funds:	<p>Formula Grants. USED will distribute formula grants as one-time payments through the Department's Grants Administration and Payment System (GAPS). These awards are based on student data contained in FY 2009 applications under section 8003 of the Impact Aid Program that were submitted in January 2008. LEAs are not required to submit an additional application for these funds.</p> <p>Discretionary (Competitive) Grants. The discretionary grants will be awarded for specific projects following the competitive process that will be announced through a separate notice in the Federal Register in the upcoming months. The Department anticipates publishing a notice in the Federal Register in July 2009 to invite applications for the competitive grants and expects that awards will be issued by November 30, 2009.</p>
Obligation Deadline:	To be Determined

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

Reporting Requirements: LEAs will be asked to report on these expenditures separately from those made under other Impact Aid construction grants and to estimate the number of jobs preserved or created. Recipients will need to maintain accurate documentation of all ARRA expenditures to ensure that the data reported are accurate, complete, and reliable.

State Agency: Executive Branch / State Education Department

State Agency Web Site: <http://www.recovery.ny.gov> <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Charles Szuberla
cszuberl@mail.nysed.gov
518-474-2238

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Education Technology – Enhancing Education through Technology (EETT/Title II Part D). The purpose of the Title II, Part D-Enhancing Education Through Technology Program is to improve student academic achievement through the use of technology in elementary and secondary schools. A secondary purpose is to close the digital divide by increasing access to and ability to use technology on the part of students in high-need schools. Activities include teacher professional development, establishment of online networks, and creation of online courses.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$650,000,000

New York State Total: Estimated \$ 55,549,075; in the '09-'10 budget, \$28 million was appropriated for this purpose

Entities Eligible to Apply: Public school districts and charter schools

Flow of Funds: Funds awarded by formula from USDOE to SED. SED will flow to local school districts using the existing Grants Management System with 50% of the funds allocated through formula and 50% be awarded through competition as required by the EETT Legislative guideline.

Obligation Deadline: September 30, 2011

Reporting Requirements: Recipients of funds must account for, and report on, how the funds are spent

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Jean Stevens
jstevens@mail.nysed.gov
(518) 474-5915

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: McKinney-Vento (Homeless Assistance, NCLB Title X, Part C). The New York State Education Department provides resources by which local educational agencies (LEAs) can offer the essential services needed for those children and youth who are homeless. SED requires that LEAs designate a local liaison for homeless children and youth. This Program provides the services needed to facilitate the enrollment, attendance and success in schooling of children who are homeless. Priority is given to those districts or consortia that emphasize collaboration between schools and agencies, schools servicing large numbers of homeless children in underserved communities and programs that supplement existing State reform initiatives.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$70,000,000

New York State Total: \$4,485,000 preliminary estimate; in the '09-'10 budget, \$4.5 million was appropriated for this purpose

Entities Eligible to Apply: Public school districts and charter schools

Flow of Funds: Funds awarded by formula from USDOE to SED. SED will flow Discretionary funds to Local Education Agencies & Charter Schools using the existing Grants Management System.

Obligation Deadline: September 30, 2011

Reporting Requirements: Each LEA receiving funds shall report a school-by school listing of per pupil educational expenditures from state and local sources.

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Roberto Reyes
rreyes@mail.nysed.gov
518-473-0295

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Title V - Teacher Incentives. Grants will be used to support efforts to develop and implement performance-based teacher and principal compensation systems in high-need schools.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$200,000,000

New York State Total: Undetermined

Entities Eligible to Apply: Public school districts and charter schools

Flow of Funds: Funds awarded by formula from USDOE to SED. SED will flow discretionary funds to Local Education Agencies & Charter Schools using the existing Grants Management System.

Obligation Deadline: September 30, 2011

Reporting Requirements: Recipients of funds must account for, and report on, how the funds are spent

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Jean Stevens
jstevens@mail.nysed.gov
(518) 474-5915

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: IDEA Part B. IDEA makes it possible for states and local educational agencies to receive federal funds to assist in the education of students with disabilities ages 3 to 21. The Federal government allocates funds to New York State based on a historical census of children ages 3 to 21 in the State. A portion of the funds is allocated to schools based on the total school enrollment and the State's poverty rate. Each year, special education services are provided to approximately 80,400 students with disabilities, ages 3 to 5, and 404,000 students with disabilities, ages 6 to 21.

Federal Agency: U.S. Department of Education – Office of Special Education Programs

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$11,300,000,000

New York State Total: \$759,193,324; in the '09-'10 budget, \$398 million was appropriated for this purpose

Entities Eligible to Apply: Public School Districts

Flow of Funds: The Federal government allocates funds to New York State based on a historical census of children ages 3 to 21 in the State. A portion of the funds is allocated to schools based on the total school enrollment and the State's poverty rate.

Obligation Deadline: September 30, 2011

Reporting Requirements: Recipients of funds must account for, and report on, how the funds are spent

State Agency: State Education Department

State Agency Web Site: www.vesid.nysed.gov/spedfin/

State Agency Technical Contact: Michael Plotzker
mplotzker@mail.nysed.gov
518-486-4734

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: IDEA Part B – Preschool. IDEA makes it possible for states and local educational agencies to receive federal funds to assist in the education of students with disabilities ages 3, 4 and 5. The Federal government allocates funds to New York State based on a historical census of children ages 3, 4 and 5 in the State. A portion of the funds is allocated to schools based on the total school enrollment and the State’s poverty rate.

Federal Agency: U.S. Department of Education – Office of Special Education Programs

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$400,000,000

New York State Total: \$35,017,461

Entities Eligible to Apply: Public School Districts

Flow of Funds: The Federal government allocates funds to New York State based on a historical census of children ages 3, 4 and 5 in the State. A portion of the funds is allocated to schools based on the total school enrollment and the State’s poverty rate.

Obligation Deadline: September 30, 2011

Reporting Requirements: Recipients of funds must account for, and report on, how the funds are spent

State Agency: State Education Department

State Agency Web Site: www.vesid.nysed.gov/spedfin/

State Agency Technical Contact: Michael Plotzker
mplotzker@mail.nysed.gov
518-486-4734

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: IDEA Part C (Early Intervention) provides services for infants and toddlers with disabilities or developmental delays, as authorized under Part C of Individuals with Disabilities Education Act (IDEA)

Federal Agency: U.S. Department of Education

Federal Agency Website: <http://www.ed.gov/about/offices/list/osers/osep/index.html>

National Total: \$500 million

New York State Total: \$23,686,688 (Half in March 2009, balance in October 2009)

Entities Eligible to Apply: Vary depending on the initiative

Flow of Funds: The U.S. Department of Education distributes the funds to states pursuant to a formula. The Department of Health as lead agency for Part C receives the funding to support the administrative costs of the early intervention program.

Obligation Deadline: September 30, 2011

Reporting Requirements: Unknown

State Agency: Department of Health

State Agency Web Site: www.recovery.ny.gov <http://nyhealth.gov>

State Agency Technical Contact: Wendy Saunders Robert Reed
wes02@health.state.ny.us rwr01@health.state.ny.us
518-473-0458 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Statewide Education Longitudinal Data Systems. The program provides funds for states to establish P-16 data systems that will provide data on the effectiveness of school reforms.

Federal Agency: U.S. Department of Education (Institute of Education Sciences)

Federal Agency Web Site: <http://www.ed.gov/about/offices/list/ies/index.html>

National Total: \$250,000,000

New York State Total: Undetermined

Entities Eligible to Apply: State Education Agencies

Flow of Funds: Competitive grants to State Education Agencies and subdivisions

Obligation Deadline: September 30, 2011

Reporting Requirements: To be determined

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov
<http://www.emsc.nysed.gov/irts/>
<http://usny.nysed.gov/arra/>

State Agency Technical Contact: David Walsh, CIO
dwalsh@mail.nysed.gov
(518)486-5311

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Qualified School Construction Bonds. Qualified School Construction Bonds are subsidized bonds that allow schools districts to borrow money a zero effective interest rate.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$11,000,000,000

New York State Total: \$927,100,000

Entities Eligible to Apply: Public school districts

Flow of Funds: Funds awarded by formula from USDOE to SED. SED will flow discretionary funds to Local Education Agencies.

Obligation Deadline: September 30, 2011
Bonds must be used within three years after issuance

Reporting Requirements: To be determined

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Carl Thurnau
cthurnua@mail.nysed.gov
518-474-3906

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Qualified Zone Academy Bonds. Qualified Zone Academy Bonds are subsidized bonds that allow schools districts to borrow money at a zero effective interest rate. The program requires school districts to obtain a ten percent private sector matching grant.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$1,400,000,000

New York State Total: \$180,040,000

Entities Eligible to Apply: Public school districts

Flow of Funds: Funds awarded by formula from USDOE to SED. SED will flow discretionary funds to Local Education Agencies.

Obligation Deadline: September 30, 2011
Bonds must be used within three years after issuance

Reporting Requirements: To be determined

State Agency: State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Carl Thurnau
cthurua@mail.nysed.gov
518-474-3906

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	Cultural Funding. Funding for arts projects and activities that preserve jobs in the nonprofit arts sector threatened by declines in philanthropic and other support during the current economic downturn.
Federal Agency:	National Endowment for the Arts
Federal Agency Website:	www.nea.gov
National Total:	\$50 million
New York State Total:	\$400,000 to be administered by the New York State Council on the Arts
Entities Eligible to Apply:	TBD – check www.nysca.org for updates beginning in early April
Flow of Funds:	<p>The National Endowment for the Arts (NEA) will distribute funds to State Arts Agencies, including the New York State Council on the Arts. Eligibility requirements and guidelines will be posted at www.nysca.org in April.</p> <p>Direct funding is also available through the NEA as one-time competitive grants to eligible nonprofit organizations. The application deadline for such funding is April 2nd. Visit www.nea.gov for guidelines and eligibility requirements.</p> <p>The NEA will also distribute funds to regional arts organizations and designated local arts agencies to be re-granted to eligible nonprofit organizations. Visit www.nea.gov for more information.</p>
Obligation Deadline:	Late May. Check www.nysca.org for updates
Reporting Requirements:	TBD
State Agency:	New York State Council on the Arts
State Agency Web Site:	www.recovery.ny.gov www.nysca.org
State Agency Technical Contact:	Matthew Jackson, mjackson@nysca.org

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

SCIENCE, TECHNOLOGY, RESEARCH, AND HIGHER EDUCATION

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website should be regularly monitored.*

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Rural Utilities Service – Distance Learning, Telemedicine and Broadband Program

Federal Agency: United States Department of Agriculture (USDA)

Federal Agency Web Site: <http://www.usda.gov/wps/portal/usdahome>

National Total: \$2.5 Billion

New York State Total: This is a discretionary competitive program. USDA will issue an RFP to solicit proposals from public and private entities.

Entities Eligible to Apply: Public and private entities (for-profit, not-for-profit, or institutions) who provide telephone or broadband services in rural areas. Grant Program Provides funding to expand broadband service in rural areas. To qualify, at least 75% of the area to be served is in a rural area without sufficient access to high speed broadband service to facilitate rural economic development. Projects funded under this Program may not receive funding from the National Telecommunications and Information Administration (NTIA), who will administer the program.

Flow of Funds: The USDA will grant the awards directly. See next page for program specifications.

Obligation Deadline: No deadline has been stated by the USDA as of this date.

Reporting Requirements: The USDA has not issued reporting requirements.

State Agency: CIO/OFT

State Agency Web Site: www.recovery.ny.gov <http://www.oft.state.ny.us/>

State Agency Technical Contact: Melodie Mayberry-Stewart
melodie.mayberry.stewart@cio.state.ny.us
518-408-2140

Sharon Cates-Williams
sharon.cates-williams@oft.state.ny.us
518-473-9450

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	Broadband Technology Opportunities Program
Federal Agency:	National Telecommunications and Information Administration (NTIA) under the Department of Commerce
Federal Agency Web Site:	http://www.ntia.doc.gov/ http://www.commerce.gov/
National Total:	\$4.7 Billion Funding Ratio: 80% - Federal - 20% - State/Local/Private (non-Federal Funds). Based on financial need, NTIA may grant a waiver.
New York State Total:	This is a discretionary competitive program. NTIA will issue an RFP to solicit proposals from public and private entities.
Entities Eligible to Apply:	State or political subdivision; non-profit foundations, corporations, institutions, or associations; any other entity, including a broadband service or infrastructure provider the Assistant Secretary finds by rule to be in the public interest.
Flow of Funds:	Currently NTIA will grant funding directly to the awardee. See next page for program specifications. Provides broadband service to unserved and underserved areas of the United States. Provides broadband education, access, equipment, and support for schools, libraries, healthcare providers, universities, and organizations that support vulnerable populations. To improve the use of broadband service by public safety agencies. Projects funded under the National Telecommunications and Information Administration (NTIA) Broadband Technology Opportunities Program may not receive funding from the Rural Broadband Grant program of USDA.
Obligation Deadline:	End of Federal Fiscal Year 2010; First round grant RFP will be available no later than June of 2009. Second round will be available no later than December of 2009. Third round will be available no later than June of 2010. All grant awards must be made by the end of fiscal year 2010. Projects must be substantially completed within 2 years of receiving award.
Reporting Requirements:	To date, NTIA has not issued reporting requirements. Comments to RFI are due April 13, 2009.
State Agency:	CIO/OFT
State Agency Web Site:	www.recovery.ny.gov http://www.oft.state.ny.us/

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

State Agency Technical Contact:

Melodie Mayberry-Stewart
melodie.mayberry.stewart@cio.state.ny.us
518-408-2140

Sharon Cates-Williams
sharon.cates-williams@oft.state.ny.us
518-473-9450

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website should be regularly monitored.***

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: DTV Analog-to-Digital Coupons

Federal Agency: National Telecommunications and Information Administration (NTIA)

Federal Agency Website: <http://www.ntia.doc.gov/>

National Total: \$650 million (up to \$90 million may be for education and outreach, including grants to organizations for programs to educate vulnerable populations, including senior citizens, minority communities, people with disabilities, low-income individuals, and people living in rural areas, about the transition and to provide one-on-one assistance to vulnerable populations, including help with converter box installation)

New York State Total: N/A

Entities Eligible to Apply: Eligible households

Flow of Funds: Directly to NTIA; converter coupons are sent to eligible households upon request

Obligation Deadline: N/A; June 12 deadline for nationwide conversion to digital TV

Reporting Requirements: N/A

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: National Scientific & Technical Research Services. Capital for laboratory research, measurements, and other services.

Federal Agency: National Institute of Standards and Technologies (NIST)

Federal Agency Web Site: <http://www.nist.gov>

National Total: \$220 million

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive, funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Construction of Research Facilities. Address backlog of maintenance and renovation projects and for construction of new facilities and laboratories, including \$180 million for a competitive construction grant program for funding research science buildings outside of NIST.

Federal Agency: National Institute of Standards and Technologies (NIST)

Federal Agency Web Site: <http://www.nist.gov>

National Total: \$360 million

New York State Total: N/A

Entities Eligible to Apply: \$180 million will go to previously submitted proposals. Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive & discretionary, \$180 million will fund previously submitted proposals. Funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: Funding for the remaining \$180 million TBD.

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: NASA Science Grants. To accelerate the development of the Tier 1 set of Earth Science climate research missions recommended by the National Academies Decadal Survey and to increase the agency's supercomputing capabilities.

Federal Agency: National Aeronautics and Space Administration (NASA)

Federal Agency Web Site: <http://www.nasa.gov>

National Total: \$400 million

New York State Total: N/A

Entities Eligible to Apply: TBD

Flow of Funds: Competitive, NASA intends to begin soliciting for contracts and grant proposals, and then start work on Recovery Act projects, as soon as program plans are completed and reviews are completed by OMB and Congress. Updated 3/27/09.

Obligation Deadline: TBD

State Agency: New York State Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Aeronautics. Systems-level research, development and demonstration activities related to: aviation safety, environmental impact mitigation, and the Next Generation Air Transportation System (NextGen).

Federal Agency: National Aeronautics and Space Administration (NASA)

Federal Agency Web Site: <http://www.nasa.gov>

National Total: \$150 million

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive, NASA intends to begin soliciting for contracts and grant proposals, and then start work on Recovery Act projects, as soon as program plans are completed and reviews are completed by OMB and Congress. Updated 3/27/09.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Exploration

Federal Agency: National Aeronautics and Space Administration (NASA)

Federal Agency Web Site: <http://www.nasa.gov>

National Total: \$400 million

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive, NASA intends to begin soliciting for contracts and grant proposals, and then start work on Recovery Act projects, as soon as program plans are completed and reviews are completed by OMB and Congress. Updated 3/27/09.

Obligation Deadline: N/A

Reporting Requirements: TBD

State Agency: New York State Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Cross Agency Support. Restore NASA-owned facilities damaged from hurricanes and other natural disasters occurring during calendar year 2008.

Federal Agency: National Aeronautics and Space Administration (NASA)

Federal Agency Web Site: <http://www.nasa.gov>

National Total: \$50 million

New York State Total: Unlikely that NY will receive any funding under this program.

Entities Eligible to Apply: TBD

Flow of Funds: Competitive, NASA intends to begin soliciting for contracts and grant proposals, and then start work on Recovery Act projects, as soon as program plans are completed and reviews are completed by OMB and Congress. Updated 3/27/09.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: New York State Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Research & Related Activities

Federal Agency: National Science Foundation

Federal Agency Web Site: <http://www.nsf.gov>

National Total: \$2.5 billion (\$300 million for Major Research Instrumentation; \$200 million for Academic Research Facilities Modernization; the remaining \$2 billion will be used to fund previously submitted proposals)

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: Requests for application expected late Spring '09 for Major Research Instrumentation; TBD for Academic Research Facilities Modernization

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Major Research Equipment and Facilities Construction

Federal Agency: National Science Foundation

Federal Agency Web Site: <http://www.nsf.gov>

National Total: \$400 million

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: Competitive, funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Education and Human Resources. Noyce Scholarship Program seeks to encourage talented science, technology, engineering, and mathematics majors and professionals to become K-12 mathematics and science teachers. The Math and Science Partnerships Program seeks to improve K-12 student achievement.

Federal Agency: National Science Foundation

Federal Agency Web Site: <http://www.nsf.gov>

National Total: \$100 million
\$60 m for Robert Noyce Scholarship Program
\$25 m for Math and Science Partnerships
\$15 m for Professional Science Master's Programs

Entities Eligible to Apply:

Flow of Funds: Competitive, funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: Requests for application expected Spring '09

Reporting Requirements:

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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ENERGY

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Instructions for application will be announced by the relevant funding agency; their
website should be regularly monitored.*

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Applied Research, Development, Demonstration and Deployment Activities For projects that support RDD&D of technologies related to energy efficiency and renewable energy. These include, but are not limited to: biomass projects; geothermal systems; combined heat and power, district energy systems, and waste energy recovery systems; efficient industrial equipment; and information and communications technology and standards
Federal Agency:	Department of Energy
Federal Agency Website:	www.eere.energy.gov
National Total:	\$2.5 billion
New York State Total:	To be determined
Entities Eligible to Apply:	Various entities are eligible. Specific eligibility criteria are listed in the funding opportunity notices issued by the Department of Energy
Flow of Funds:	Proposals for competitive funds are submitted by eligible entities to DOE and can represent a team of proposers. DOE selects projects to be funded and issues contracts.
Obligation Deadline:	Varies
Reporting Requirements:	It is anticipated that the first two opportunities will be modified to include ARRA funds. The reporting requirements will be modified at that time. <ul style="list-style-type: none">• <i>Enhanced Geothermal Systems Demonstrations</i> - Reporting requirements are identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, attached to the award agreement. The proposed Checklist for this program can be found at https://www.eere-pmc.energy.gov/procurenet/FinancialAssistance/Forms/DOE_Forms/DOEF4600_2.doc.• <i>Enhanced Geothermal Systems Component Research and Development/Analysis</i> - Reporting requirements are identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, attached to the award agreement. The proposed Checklist for this program can be found at <a 142="" 829="" 883="" 935"="" data-label="Text" href="https://www.eere-</td></tr></table></div><div data-bbox="><p><i>This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.</i></p>

pmc.energy.gov/procurenet/FinancialAssistance/Forms/DOE_Forms/DOEF4600_2.doc.

- Additional reporting requirements will be determined upon DOE's issuance of additional Funding Opportunity Announcements.

State Agency: New York State Energy Research and Development Authority (NYSERDA)

State Agency Web Site: www.recovery.ny.gov www.nysesda.org/economicrecovery

State Agency Technical Contact: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Energy Efficiency and Conservation Block Grant Program
Provides funds to municipalities for a variety of potential uses including building energy audits, energy efficiency retrofits, transportation efficiency, building code services, energy distribution technologies, material conservation programs, technologies to reduce or capture greenhouse gases from landfills, replacement of traffic signals and street lighting with energy efficiency technologies, on-site renewable energy technology for government buildings.

Federal Agency: Department of Energy

Federal Agency Website: www.eere.energy.gov

National Total: \$3.2 billion

New York State Total: \$175,122,300 by formula (\$145,361,700 is for direct grants to large municipalities and \$29,760,600 is to NYSERDA. At least 60 percent of the funds coming to NYSERDA must be used for sub-grants to municipalities not eligible to receive direct grants.) Competitive funds will also be available from DOE.

Entities Eligible to Apply: Municipalities

Flow of Funds: The 45 large counties, cities, and towns must apply directly to DOE by June 25, 2009. Grants will be issued by DOE directly to those municipalities. The deadline for application to DOE from NYSERDA is May 26, 2009. Upon receiving DOE approval of its plan, NYSERDA will solicit projects from municipalities and issue contracts for those projects selected.

Obligation Deadline: 180 days after DOE approval of Energy Efficiency and Conservation Strategy (Plan)

Reporting Requirements: A summary table of reporting requirement is provided below. For additional details go to: <https://www.fedconnect.net/FedConnect/> - "Search Public Opportunities and Awards" and use the "Reference Number" search criteria in the drop-down list and enter: DE-FOA-0000013. The reporting requirements are in Attachment C.

Type of Report	General Description of Content or Link	Frequency
Special Status Report	Any significant event that positively or negatively impacts the project	Email within 5 days of event
Financial	SF-425 Federal Financial Report	Quarterly within 30 days after

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Reporting	http://www.whitehouse.gov/omb/grants/index.html	the end of the reporting period AND Final within 90 days after expiration of award
Closeout Reporting	Property Certification, including the required inventories of non-exempt property, located at http://grants.pr.doe.gov	Final within 90 days after expiration of award
Energy Efficiency Conservation Strategy (EECS Strategy)	Applicable to Units of Local Government and Indian Tribes – see Attachment D at https://www.fedconnect.net/FedConnect/ - “Search Public Opportunities and Awards” and use the “Reference Number” search criteria in the drop-down list and enter: DE-FOA-0000013.	With application or not later than 120 days after the effective date of the award
Annual Reports	Units of Local Government and Indian Tribes – Describe the status of development and implementation of the EECS strategy and an assessment of energy efficiency gains. The report shall also address key metrics based on project type (see Attachment C)	Not later than two years after the effective date of the award and annually thereafter.
Annual Reports	States - Describe the status of development and implementation of the energy efficiency and conservation strategy of the state during the preceding calendar year; the status of the subgrant program of the state; specific energy efficiency and conservation goals of the state for subsequent calendar years; and activities (list all programs created or supported by program funds and amount of program funds spent on each activity, indicate which programs are new and which are existing, indicate which programs are supported solely by program funds, and which have other funding sources. The report shall also address key metrics based on project type (see Attachment C)	Not later than one year after the effective date of the award and annually thereafter
ARRA Performance Progress Reports	In addition to general ARRA reporting requirements specified in the Act and by OMB, DOE is requiring numerous additional performance metrics be reported, such as energy saved, GHG emissions reduced, cost savings, renewable energy generated, leveraged funds, expenditures for evaluation, etc. A full list of metrics is included in Attachment C to the Funding Opportunity Announcement DE-FOA-0000013.	Not later than 10 days after the end of each calendar quarter

State Agency: New York State Energy Research and Development Authority (NYSERDA)

State Agency Web Site: www.recovery.ny.gov www.nyserdera.org/economicrecovery

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State Agency Technical Contact:

For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Weatherization Assistance Program to increase the energy efficiency of homes and rental units for low-income households

Federal Agency: U.S. Department of Energy (DoE)

Federal Agency Website: <http://apps1.eere.energy.gov/wip/>

National Total: \$5 billion

New York State Total: \$394,000,000

Entities Eligible to Apply: Qualified nonprofits receive grants from state agency. Low income households may apply to have their home weatherized by contacting the State funded Weatherization provider in their county. A list of the State funded Weatherization providers can be found at http://nysdhcr.gov/Apps/profiles/profile_WAPcnty.

Flow of Funds: The U.S. Department of Energy distributes the funds by formula to States who award the funds to qualified weatherization providers. These providers accept applications, determine eligibility, conduct energy audits and perform weatherization activities in the homes of low income households.

Obligation Deadline: September 30, 2010

Reporting Requirements: The reporting requirements are set forth in the Attachment 2 of Funding Opportunity Announcement No. DE-FOA-0000051. Financial and progress reports will be used to adhere to the transparency and oversight requirements detailed in the Recovery Act.

The reporting requirements primarily consist of existing reports that are regularly submitted by DHCR as a part of the Weatherization Assistance Program management. In addition to the existing reports listed below, a new report outlined in #4B below is required. DHCR will supplement its existing database system with a spreadsheet to collect the information relating to the new report requirements. It should be noted that all reporting will be specific to funding provided under ARRA.

1. Management Reporting

A. Progress Report – due quarterly within 30 days after reporting period and a final report due 90 calendar days after expiration or termination of award. Must include concise narrative assessment of the status of work. (This is an existing report which is also referenced in # 4B, below).

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2. Financial Reporting

A. SF -425, Federal Financial Report due quarterly and final. (This is an existing report that DOE requires and the information is reported through the DOE WinSaga system).

3. Closeout Reports

A. Property Certification due at final (An existing report that applies to equipment purchased with federal funds but not yet transferred to subcontractors).

4. Other Reporting

A. Annual Indirect Cost Proposal Report – due annually (An existing report that OSC handles for all State agencies).

B. WAP Report (DOE F 540.3) due quarterly (see #1A, above).

C. Annual Training, Technical Assistance, Monitoring and Leveraging Report (Existing DOE Form 540.4) due annually.

D. ARRA Performance Progress Report due quarterly 10 days after end of reporting period and no later than 180 days of enactment of ARRA (August 2009); This is a new report developed for ARRA containing the following information:

- total amount of ARRA received from that Agency
- amount of ARRA covered funds received that were expended or obligated to project or activities
- a detailed list of all project or activities for which ARRA funds were expended or obligated including: name of project, description of project, evaluation of the completion status of project, estimate of jobs created and retained by project, infrastructure investments made by State and local governments, information on subcontracts or subgrants awarded by recipient to include date element required to comply with the Federal Accountability and Transparency Act of 2006(Pub. L. 109-282); DHCR has been verbally advised by DOE staff that for WAP purposes, “Project” means each individual contract between DHCR and a subgrantee. This information is all also included in the WAP quarterly report (#4B above).

State Agency: New York State Division of Housing and Community Renewal

State Agency Web Site: www.recovery.ny.gov www.nysdhcr.gov

State Agency Technical Contact: Lori Harris, Assistant Commissioner, Laharris@nysdhcr.gov

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: State Energy Program
The Program supports energy efficiency, renewable energy, and transportation projects.

Federal Agency: Department of Energy

Federal Agency Website: www.eere.energy.gov

National Total: \$3.1 billion

New York State Total: \$123.11 million

Entities Eligible to Apply: Various sectors may be eligible

Flow of Funds: NYSERDA will submit a Program Plan to DOE by May 12, 2009 which details the proposed uses of the funds. Upon approval by DOE, NYSERDA issues one or more solicitations for projects. Contracts are issued for those projects which are selected to receive funds.

Obligation Deadline: 18 months after DOE approval of State's Plan

Reporting Requirements: A summary table of reporting requirement is provided below. For additional details go to: <https://www.fedconnect.net/FedConnect/> - "Search Public Opportunities and Awards" and use the "Reference Number" search criteria in the drop-down list and enter: DE-FOA-0000052. The reporting requirements are in Attachment 2.

Type of Report	General Description of Content or Link	Frequency
Progress Report	The Progress Report must provide a concise narrative assessment of the status of work and include information such as, accomplishments, cost, schedule, changes in key personnel, etc. as specified in Section A of Attachment 2 of Funding Opportunity Announcement DE-FOA-000052.	Quarterly within 30 days after the end of the reporting period AND Final within 90 days after expiration of award
Financial Reporting	SF-425 Federal Financial Report http://www.whitehouse.gov/omb/grants/index.html	Quarterly within 30 days after the end of the reporting period AND Final within 90 days after expiration of award
Closeout Reporting	Property Certification, including the required inventories of non-exempt property, located at http://grants.pr.doe.gov	Final within 90 days after expiration of award
Annual Indirect	In accordance with the applicable cost principles, the	Annually within 6 months

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Cost Proposal and Reconciliation	recipient must submit an annual indirect cost proposal, reconciled to its financial statements, unless the award is based on a predetermined or fixed indirect rate(s), or a fixed amount for indirect or facilities and administration (F&A) costs.	after the close of the fiscal year.
Quarterly Program Status Reports	See Section 10.2 of Funding Opportunity Announcement DE-FOA-0000052 to see new list of metrics and outcomes that must be reported.	Quarterly
Annual Reports	See Section 10.3 of Funding Opportunity Announcement DE-FOA-0000052 to see new list of annual metrics and measurement of progress toward EPACT 2005 Goal of “an improvement of 25 percent or more in the efficiency of use of energy in the State in calendar year 2012 as compared to calendar year 1990.”	Annually
ARRA Performance Progress Reports	General ARRA reporting requirements specified in the Act and by OMB. See Section E of Funding Opportunity Announcement DE-FOA-0000052.	Not later than 10 days after the end of each calendar quarter

State Agency: New York State Energy Research and Development Authority

State Agency Web Site: www.recovery.ny.gov www.nyserda.org/economicrecovery

State Agency Technical Contact: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Advanced Battery Manufacturing Grants
For projects that support: Cell and Battery Pack Manufacturing Facilities; Advanced Battery supplier Manufacturing Facilities; Advanced Lithium ion Battery Recycling Facilities; and Electric Drive Component Manufacturing Facilities.

Federal Agency: Department of Energy, National Energy Technology Laboratory

Federal Agency Website: www.energy.gov and, specifically,
[Recovery Act - Electric Drive Vehicle Battery and Component Manufacturing Initiative \(DE-FOA-0000028\)](#)

National Total: \$2 billion

New York State Total: To be determined

Entities Eligible to Apply: Various entities are eligible; however, the participation of original equipment manufacturers is required in several categories.

Flow of Funds: Proposals for competitive funds are submitted by eligible entities to DOE and can represent a team of proposers. DOE selects projects to be funded and issues contracts.

Obligation Deadline: Varies; Applications due May 19, 2009

Reporting Requirements: Reporting requirements will be identified on the Federal Assistance Reporting Checklist, DOE F 4600.2, attached to the award agreement. See the NETL Business Page at <http://www.netl.doe.gov/business/forms/FederalAssistanceReportingChecklistExample> for the proposed Checklist for this program.

Each award that includes Recovery Act funds will include conditions identifying the funding as coming from the Recovery Act, and reference the specific awardee reporting responsibilities mandated by Section 1512c. of the Recovery Act and the Office of Management and Budget. Awardees will be required to separately track and monitor Recovery Act funds as such funds cannot be commingled with non-Recovery Act funds. New York will be one of 16 states that the federal General Accounting Office (GAO) will closely monitor. Criteria include how states and localities are using the act's funds and whether they are, among other things, (1) preserving and creating jobs and promoting economic recovery; (2) assisting those most impacted by the recession; (3) investing in transportation, environmental protection, and other infrastructure that will provide long-term economic benefits; and (4)

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stabilizing state and local government budgets in order to minimize and avoid reductions in essential services and counterproductive state and local tax increases. Energy related projects that involve innovative technologies – thereby carrying risks that manufacturers may not be able to actually deliver the intended products – will also receive special attention and intensified monitoring from the GAO.

State Agency: New York State Energy Research and Development Authority (NYSERDA); Empire State Development

State Agency Web Site: www.recovery.ny.gov
www.nyserda.org/economicrecovery
www.empire.state.ny.us

State Agency Technical Contact: NYSERDA: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

ESDC: Michael Morse
518-292-5200
MMorse@empire.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Alternative Fuel Vehicle Pilot Program
Competitive grant program for the purchase of alternative fueled vehicles, hybrid vehicles, or fuel cell vehicles; fueling infrastructure; and operations and maintenance.

Federal Agency: Department of Energy

Federal Agency Website: www.eere.energy.gov

National Total: \$300 million

New York State Total: To be determined

Entities Eligible to Apply: Clean Cities Coalitions, State agencies, and municipal agencies

Flow of Funds: Proposals for competitive funds are submitted by eligible entities to DOE. First round proposals are due to DOE on May 29, 2009, with possible round two proposals due September 30, 2009. DOE will review the applications and make 30 awards nationally. Interested fleets can have their projects submitted as part of an application being aggregated by NYSERDA. All projects must be endorsed by one of New York's Clean Cities Coalitions (located on Long Island, in New York City and the lower Hudson Valley, the Capital District, and in the Syracuse, Rochester, and Buffalo areas).

Obligation Deadline: Vehicle deployment and infrastructure development must be completed within two years of award.

Reporting Requirements: Program reporting requirements will be specified in the Federal Assistance Reporting Checklist, DOE 4600.2 which will be attached to the award agreement.

State Agency: New York State Energy Research and Development Authority (NYSERDA)

State Agency Web Site: www.recovery.ny.gov www.nyserda.org/economicrecovery

State Agency Technical Contact: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Transportation Electrification Program
For projects that encourage the use of plug-in electric drive vehicles, truck-stop electrification, electric truck refrigeration, and electric rail.

Federal Agency: Department of Energy, National Energy Technology Laboratory

Federal Agency Website: www.energy.gov and, specifically, [Recovery Act - Transportation Electrification \(DE-FOA-0000026\)](#)

National Total: \$400 million

New York State Total: To be determined

Entities Eligible to Apply: Various entities are eligible, but only as part of team with vehicle or component manufacturer

Flow of Funds: Proposals for competitive funds are submitted by eligible entities to DOE. Proposals are due to DOE on May 13, 2009. DOE will review proposals and issue awards.

Obligation Deadline: Unspecified

Reporting Requirements: Program reporting requirements will be specified in the Federal Assistance Reporting Checklist, DOE 4600.2 which will be attached to the award agreement.

State Agency: New York State Energy Research and Development Authority (NYSERDA); Empire State Development

State Agency Web Site: www.recovery.ny.gov
www.nyserda.org/economicrecovery
www.empire.state.ny.us

State Agency Technical Contact: NYSERDA: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

ESDC: Michael Morse
518-292-5200
MMorse@empire.state.ny.us

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Energy Efficiency Appliance Rebate Program
This program will provide incentives to replace old appliances with energy efficient products.

Federal Agency: Department of Energy

Federal Agency Website: www.eere.energy.gov

National Total: \$300 million

New York State Total: Formula based, to be determined

Entities Eligible to Apply: Consumers

Flow of Funds: DOE will issue a funding opportunity notice. NYSERDA will submit a plan to DOE, and once approved will issue program guidance on eligibility and application process.

Obligation Deadline: Unspecified

Reporting Requirements: To be determined upon DOE's issuance of Program Guidelines.

State Agency: New York State Energy Research and Development Authority (NYSERDA)

State Agency Web Site: www.recovery.ny.gov www.nyserdera.org/economicrecovery

State Agency Technical Contact: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Electricity Delivery and Energy Reliability to modernize the electric grid, enhance security and reliability of the energy infrastructure, energy storage research and development, demonstration and deployment, facilitate recovery from power disruptions, demonstrate and deploy smart grid technology, and provide worker training.

Federal Agency: U.S. Department of Energy

Agency Web Site: <http://www.oe.energy.gov>

National Total: \$4.5 billion

New York State Total: NA

Entities Eligible to Apply: Eligibility will be determined by the U.S. Department of Energy, and may include public and investor owned utilities, municipalities and private companies.

Flow of Funds: The U.S. Department of Energy distributes the funds by competitive grant. The Department will issue a Notice of Intent (providing initial information regarding the program) and/or a Funding Opportunity Announcement (inviting applications for the program) through its website. Interested parties should check the website of the U.S. Department of Energy on a regular basis to ensure they receive accurate and timely information concerning program opportunities.

Obligation Deadline: Unspecified

Reporting Requirements: The U.S. Department of Energy is expected to announce any reporting requirements.

State Agency: Department of Public Service and New York Power Authority Involved Agencies.

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Kimberly Harriman
Kimberly_harriman@dps.state.ny.us
(518) 474-1585

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Fossil Energy Research and Development
For energy research and development activities including carbon capture and sequestration projects and geological characterization, turbine systems research, and clean coal power.

Federal Agency: Department of Energy

Federal Agency Website: www.fossil.energy.gov

National Total: \$3.4 billion

New York State Total: To be determined

Entities Eligible to Apply: Various entities are eligible. Specific eligibility criteria are listed in the funding opportunity notices issued by the Department of Energy

Flow of Funds: Proposals for competitive funds are submitted by eligible entities to DOE and can represent a team of proposers. DOE selects projects to be funded and issues contracts.

Obligation Deadline: Varies

Reporting Requirements: Program reporting requirements will be specified in the Federal Assistance Reporting Checklist, DOE 4600.2 which will be attached to the award agreement.

State Agency: New York State Energy Research and Development Authority (NYSERDA)

State Agency Web Site: www.recovery.ny.gov www.nyserda.org/economicrecovery

State Agency Technical Contact: For updated information on funding and program offerings, call (518) 862-1090, ext. 3412 or ext. 3449; or visit the NYSERDA website.

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Science. Major construction, laboratory infrastructure, and research efforts sponsored across the nation by the DOE Office of Science.

Federal Agency: Department of Energy

Federal Agency Web Site: <http://www.energy.gov>

National Total: \$1.6 billion
\$1.2 billion has been allocated. See <http://www.energy.gov/news2009/7083.htm> for details.
\$371 million TBD

New York State Total: N/A

Entities Eligible to Apply: Institutions of higher education are one of the expected recipients

Flow of Funds: TBD

Obligation Deadline: Remaining funds TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Innovative Technology Loan Guarantee Program (amount for cost of guaranteed Loans authorized by Energy Policy Act of 2005 Act – Title 17)

Federal Agency: Department of Energy

Federal Agency Web Site: <http://www.energy.gov>

National Total: \$6 billion
\$535 million was allocated on 3/20/09

New York State Total: N/A

Entities Eligible to Apply: N/A

Flow of Funds: Federal Loan Guarantee that will be administered through local lending institutions (banks)

Obligation Deadline: Ongoing

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Section 405: Federal Share of Cost of Technology Investments. Financial assistance for use in paying an amount equal to not more than 50 percent of the cost of qualifying advanced grid technology investments made by the utility or other party to carry out demonstration project.

Federal Agency: Department of Energy

Federal Agency Web Site: <http://www.energy.gov>

National Total: TBD

New York State Total: TBD

Entities Eligible to Apply: TBD

Flow of funds: Both, funding opportunities will be announced directly by federal agency. NYSTAR website will provide additional information as it is made available.

Obligation Deadline: TBD

Reporting Requirements: TBD

State Agency: NYS Foundation for Science, Technology and Innovation (NYSTAR)

State Agency Web Site: www.recovery.ny.gov <http://www.nystar.state.ny.us/>

State Agency Technical Contact: Ed J. Hamilton, Executive Deputy Director, NYSTAR
518-292-5709
Ehamilton@nystar.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Section 406: Renewable Energy, Electric Power Transmission and leading edge biofuels Projects.

Federal Agency: U.S. Department of Energy

Agency Web Site: <http://www.energy.gov>

National Total: \$6,000,000,000 for a Loan Guarantee Program

New York State Total: N/A

Entities Eligible to Apply: Eligibility will be determined by the U.S. Department of Energy, and may include public and investor owned utilities, municipalities and private companies.

Flow of Funds: The U.S. Department of Energy administers the discretionary Loan Guarantee Program.

Obligation Deadline: Projects awarded a loan guarantee under the program must commence construction by September 30, 2011.

Reporting Requirement: The U.S. Department of Energy is expected to announce any reporting requirements.

State Agency: Department of Public Service and New York Power Authority Involved Agency

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: Kimberly A. Harriman
Kimberly_harriman@dps.state.ny.us
(518) 474-1585

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Energy Efficient Federal Motor Vehicle Fleet Procurement

Federal Agency: General Services Administration (GSA)

Federal Agency Website: <http://www.gsa.gov/recovery>

National Total: \$300 million

New York State Total: N/A

Entities Eligible to Apply: N/A; the Federal Acquisition Service (FAS) is finalizing an agency plan for appropriation of program funds

Flow of Funds: GSA obligates funds through FAS

Obligation Deadline: September 30, 2011

Reporting Requirements: Administrator will report to the Committees on the obligation of these funds on a quarterly basis beginning on September 30, 2009

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

ENVIRONMENT AND WATER DEVELOPMENT

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: The Watershed Rehabilitation Program for rehabilitation of aging watershed dams pertains to the over 11,000 dams in 47 states that were constructed under the four watershed programs (PL-534, PL-566, Pilot, or RC&D). These dams are eligible for assistance under this funding program. Many of these dams are nearing the end of their 50-year design life. Rehabilitation of these dams is needed to address critical public health and safety issues in these communities. Priority for funding of projects is based on a priority ranking system that considers the condition of the dam and number of people at risk if the dam should fail. NRCS may provide technical assistance and 65% of the total rehabilitation project cost. State and local partners contribute the remaining 35% share.

Federal Agency: Natural Resources Conservation Service (NRCS)

Federal Agency Web Site: <http://www.nrcs.usda.gov/recovery/>

National Total: \$50 million

New York State Total: NRCS has selected three dams in New York State to rehabilitate through this appropriation:

Little Choconut (Broome County) - \$344,200
Canowanga Sites 3 and 6 (Chattauqua County) - \$1.2 million

Entities Eligible to Apply: Not applicable.

Flow of Funds: Funds flow through NRCS to dam owners.

Obligation Deadline: Not provided, but funds must be allocated to projects that can begin immediately.

Reporting Requirement: NRCS has not yet provided its reporting information. Because NRCS is directly responsible for implementing this program, it will provide reports on implementation.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Alon Dominitz, axdomini@gw.dec.state.ny.us
Thomas Snow, trsnow@gw.dec.state.ny.us

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: The Watershed Operations Program is a voluntary initiative which provides assistance to sponsoring local organizations of authorized watershed projects, planned and approved under the authority of the Watershed Protection and Flood Prevention Act of 1954 (P.L. 83-566), and designated watersheds authorized by the Flood Control Act of 1944 (P.L. 78-534). NRCS provides technical and financial assistance to States, local governments and Tribes (as project sponsors) to implement authorized watershed project plans for the purpose of watershed protection; flood mitigation; water quality improvements; soil erosion reduction; rural, municipal and industrial water supply; irrigation water management; sediment control; fish and wildlife enhancement; and wetlands and wetland function creation and restoration.

Federal Agency: Natural Resources Conservation Service (NRCS)

Federal Agency Web Site: <http://www.nrcs.usda.gov/recovery/>

National Total: \$145 million

New York State Total: In New York State, NRCS's intent is to use the funds (\$1 million in each of Federal Fiscal Years 2009 and 2010 for a total of \$2 million spent in New York State) for technical assistance in the New York City Watershed.

Entities Eligible to Apply: States, Tribes and local governments.

Flow of Funds: Funds flow through NRCS to eligible entities.

Obligation Deadline: September 30, 2011

Reporting Requirement: NRCS has not yet provided its reporting information. Because NRCS is directly responsible for implementing this program, it will provide reports on implementation.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Thomas Snow, trsnow@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	The Watershed and Flood Prevention Program provides for the purchase of floodplain easements as an emergency measure. Floodplain easements restore, protect, maintain, and enhance the functions of the floodplain; conserve natural values including fish and wildlife habitat, water quality, flood water retention, ground water recharge, and open space; reduce long-term federal disaster assistance; and safeguard lives and property from floods, drought, and the products of erosion. Restoration costs (e.g., removing dikes, fencing out livestock, alternative water, planting trees or native vegetation) associated with acquiring a floodplain easement are also eligible under this program.
Federal Agency:	Natural Resources Conservation Service (NRCS)
Federal Agency Web Site:	http://www.nrcs.usda.gov/recovery/
National Total:	\$145 million (\$30 million maximum for any one state)
New York State Total:	Undetermined; dependent upon applications made to NRCS by individual landowners for floodplain easements.
Entities Eligible to Apply:	State and local governments, and landowners with floodplain lands that have been impaired within the last 12 months or that have a history of repeated flooding (i.e., flooded at least two times during the past 10 years).
Flow of Funds:	Funds flow directly from NRCS to property owners.
Obligation Deadline:	National Sign-up Period is from March 9-April 10, 2009. All offers for acquiring easement must be made by May 4, 2009. All easements will be recorded by December 3, 2009. All restoration will be fully completed by December 30, 2010.
Reporting Requirement:	NRCS has not yet provided its reporting information. Because NRCS is directly responsible for implementing this program, it will provide reports on implementation.
State Agency:	Department of Environmental Conservation
State Agency Web Site:	www.recovery.ny.gov www.dec.ny.gov
State Agency Technical Contact:	Thomas Snow, trsnow@gw.dec.state.ny.us

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	USDA Rural Development Water & Environment Program (WEP). Provides loans, grants and loan guarantees for water and sewer projects in rural areas of less than 10,000 in population.
Federal Agency:	USDA Rural Development
Agency Web Site:	http://www.rurdev.usda.gov/ny/
Agency Technical Contact:	David Miller, Community Programs Director, 315-477-6427, david.miller@ny.usda.gov .
National Total:	\$1.38 billion in budget authority; program level nationally is approximately \$2.8 billion in loan and approximately \$968 million in grants.
New York State Total:	Individual state allocations are currently embargoed. However, based on previous years amounts it can be estimated that New York will be allocated 3.38% of the total, or approximately \$95 million in loans and approximately \$37 million in grants.
Entities Eligible to Apply:	Loans and grants are open to public entities, such as municipalities, counties, special purpose districts, nonprofit corporations including cooperatives, and tribal governments. A new entity may be created to apply for this program.
Flow of Funds:	ARRA projects must be submitted to the state office and approved by the national office to receive funding. Approved funding will be forwarded to the State Office then to the Rural Development local offices, where approved funds will be dispersed in accordance with existing procedures. Key criteria for ARRA funding is project's "shovel-ready" status, its job creation, and the entity's inability to obtain needed funds from commercial sources at reasonable rates and terms. Projects must be consistent with existing development plans. Loan guarantees on amounts sourced through banks, savings and loans, mortgage and insurance companies may be available for up to 90 percent of any eligible loss incurred by the lender. Grants may be provided when necessary to reduce user costs to a reasonable level. Applications are handled directly by 10 USDA field offices in NY.
Obligation Deadline:	Fifty (50) percent of the funds must be obligated in 120 days (approximately 6/17/09). The remainder of the funds must be obligated by 9/30/10. Unfunded backlogged projects from previous years may be eligible.

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

Reporting Requirements: Prime non-federal recipients will be responsible for reporting their use of funds, as well as any sub-awards, such as sub-grants or sub-contracts, that they make. All recipients of financial assistance must provide quarterly and final performance activity reports to USDA Rural Development until the project is complete and the funds are expended.

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: NOAA Coastal and Marine Habitat Restoration Project Grants will be used for habitat restoration projects in coastal areas including the Great Lakes. These projects may include wetlands restoration, dam removals, shellfish restoration, and coral reef restoration. The principal objective of these NOAA Coastal and Marine Habitat Restoration Project Grants is to provide Federal financial and technical assistance to "ready-to-go" (shovel-ready) projects that meet NOAA's mission to restore marine and coastal habitats and that will result in near-immediate stimulation of local economies through the creation or retention of restoration-related jobs.

Federal Agency: National Oceanic and Atmospheric Administration (NOAA)

Federal Agency Web Site: <http://www.nmfs.noaa.gov/habitat/recovery/>

National Total: \$230 million

New York State Total: Undetermined.

Entities Eligible to Apply: Eligible applicants are institutions of higher education, non- profits, commercial (for profit) organizations, U.S. territories, and state, local and Indian tribal governments.

Flow of Funds: NOAA will allocate the funds directly to grant recipients. No matching funds are needed.

Obligation Deadline: August, 2010 – February, 2011

Reporting Requirements: USEPA is in the process of developing reporting requirements.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Doug Stang, dxstang@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Non-Defense Environmental Cleanup Projects. The funding should be applied to projects of limited duration at the various cleanup sites. The objective in the use of these funds is primarily to decrease the overall site footprint and reduce recurring annual costs.

Federal Agency: U.S. Department of Energy (DOE)

Federal Agency Web Site: <http://www.energy.gov/recovery/index.htm>

National Total: \$483 million

New York State Total: Approximately \$75-76 million for remedial activities at the West Valley Demonstration Project.

Entities Eligible to Apply: Not applicable. DOE will select the projects for remediation.

Flow of Funds: DOE will directly expend the funds on specific sites. No non-federal matching funds are needed.

Obligation Deadline: September 30, 2010.

Reporting Requirements: DOE has committed to full transparency on the expenditure of stimulus funds. Since DOE is responsible for implementing this program, the reporting burden falls upon them.

State Agency: New York State Energy Research and Development Authority
Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov,
<http://www.nyserda.org/economicrecovery/default.asp>
www.dec.ny.gov

State Agency Technical Contact: Paul Bembia, pjb@nyserda.org
Edwin Dassatti, eedassat@gw.dec.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Defense Environmental Cleanup Projects. At some sites, the funds will be used to accelerate cleanup and close the site by 2011. At other sites, American Recovery and Reinvestment Act funds will be used to significantly reduce the area of contamination.

Federal Agency: U.S. Department of Energy (DOE)

Federal Agency Web Site: <http://www.energy.gov/recovery/index.htm>

National Total: \$5.127 billion

New York State Total: Undetermined.

Entities Eligible to Apply: Not applicable. DOE will select the projects for remediation.

Flow of Funds: DOE and the U.S. Army Corps of Engineers will directly expend the funds on specific defense sites. No non-federal matching funds are needed.

Obligation Deadline: September 30, 2010.

Reporting Requirements: DOE has committed to full transparency on the expenditure of stimulus funds. Since DOE is responsible for implementing this program, the reporting burden falls upon them.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Ted Bennett, tabennet@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: The \$280 million in the Recovery Act for the Fish and Wildlife Service will improve energy efficiency and renewable energy use on refuges and other facilities throughout the nation; restore wetlands, riparian habitat, endangered species habitat, and other important landscapes; and restore and rehabilitate facilities that are crucial to the management and restoration of wildlife and fisheries.

Federal Agency: U.S. Fish and Wildlife Service (USFWS)

Federal Agency Web Site: <http://www.doi.gov/recovery/index.html>

National Total: \$280 million

New York State Total: Not applicable; all funds will be spent by USFWS on its facilities.

Entities Eligible to Apply: Not applicable.

Flow of Funds: Funds will be spent by the USFWS.

Obligation Deadline: August, 2010 – February, 2011.

Reporting Requirements: Because USFWS is spending the funds directly, it is responsible for all reporting requirements.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Doug Stang, dxstang@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Funds appropriated to the United States Geological Survey will help modernize streamgages that are critical for monitoring streamflow and providing information that is used extensively by water managers and the public. USGS facilities also will be restored or rehabilitated with these funds. For example, two 50-year old vessels on the Great Lakes used for inventory and monitoring of lake trout and other important fisheries will be replaced.

Federal Agency: United States Geological Survey (USGS)

Federal Agency Web Site: <http://www.doi.gov/recovery/index.html>

National Total: \$140 million

New York State Total: Not applicable.

Entities Eligible to Apply: Not applicable; all funds will be spent by USGS on its facilities.

Flow of Funds: USGS will spend all funds directly.

Obligation Deadline: Undetermined.

Reporting Requirements: Because USGS will spend the American Recovery and Reinvestment funds directly, it is responsible for all reporting requirements.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Mark Klotz, maklotz@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: The Hazardous Substance Superfund cleans up land contaminated by hazardous wastes. USEPA is making decisions about which projects to fund using the American Recovery and Reinvestment Act appropriation based on consideration of factors such as human and ecological risk; jobs created or maintained; and construction readiness.

Federal Agency: U.S. Environmental Protection Agency (USEPA)

Agency Web Site: <http://www.epa.gov/recovery/>

National Total: \$600 million

New York State Total: USEPA is considering 2 National Priority List (NPL) projects in New York State. The funding amount is uncertain at this point.

Entities Eligible to Apply: Not applicable.

Flow of Funds: USEPA will allocate the funds directly to federal Superfund projects. No matching funds are needed.

Obligation Deadline: September 30, 2011.

Reporting Requirements: USEPA is in the process of developing reporting requirements.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Ted Bennett, tabennet@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: The Leaking Underground Storage Tank Trust Fund provides federal money to clean up petroleum leaks from underground storage tanks. Money can only be used either to oversee cleaning up underground tank leaks, or directly pay for cleaning up leaks from federally regulated tanks where the responsible party is unknown, unwilling, unable, or the cleanup is an emergency response.

Federal Agency: U.S. Environmental Protection Agency (USEPA)

Federal Agency Web Site: <http://www.epa.gov/recovery/>

National Total: \$200 million

New York State Total: \$9.212 million

Entities Eligible to Apply: States and territories

Flow of Funds: The funds will flow directly from USEPA to DEC through a grant agreement. No matching funds are needed.

Obligation Deadline: Per USEPA USEPA must obligate Recovery Act resources by September 30, 2010 and the recipient (DEC) must obligate at least 60% of funds and expend at least 30% of the funds within one year of grant award.

Reporting Requirements: USEPA provided draft guidance on 3/13/09 and may develop additional reporting requirements.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Ted Bennett, tabennet@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Clean Water State Revolving Fund (CWSRF) provides low-interest financing to improve and protect the water quality of New York State.
Federal Agency:	U.S. Environmental Protection Agency
Federal Agency Website:	http://www.epa.gov/owm/cwfinance/cwsrf/
National Total:	\$4.0 Billion
New York State Total:	\$432.5 million
Entities Eligible to Apply:	Towns, villages, cities, counties, public authorities, public benefit corporations, or agencies of the State of New York can apply for point source projects such as wastewater treatment plants and sewers. Non-municipal entities may apply for financing CWSRF-eligible nonpoint source projects such as brownfield remediation; landfill leachate collection, storage and treatment; landfill gas collection; landfill capping; stormwater management; waterbody restoration; and failing decentralized septic systems.
Flow of Funds:	The U.S. Environmental Protection Agency distributes the funds by formula to States who use the funds to finance qualified water quality projects. Potential applicants need to submit a Project Listing Form to have their project considered by EFC. Projects that have submitted an engineering report and need funds within the next Federal Fiscal Year will be included on the Annual List of the Intended Use Plan (IUP). Availability of funds limits the number of Annual List projects that are can receive financing. For fundable projects, an application for financing needs to be submitted to EFC. When all information related to the application is in order and if funds are available, EFC approves the project for financing. Following a subsequent approval by the Public Authorities Control Board (PACB), EFC and the community will enter into a financing agreement. The community may then submit a disbursement request to EFC in order to receive funds.
Obligation Deadline:	One year from enactment (February 17, 2010)
Reporting Requirements:	Details of the reporting requirements are being developed. Information required of recipients will include construction contract award and execution, construction start and completion, and quarterly construction updates.
State Agency:	New York State Environmental Facilities Corporation

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

State Agency Web Site: www.recovery.ny.gov www.nysefc.org/recovery

State Agency Technical Contact: James E. Flaherty, P.E., Flaherty@nysefc.org

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: The Drinking Water State Revolving Fund (DWSRF) provides financing to upgrade or replace water system infrastructure to achieve or maintain drinking water standards

Federal Agency: U. S. Environmental Protection Agency (EPA)

Federal Agency Website: <http://www.epa.gov/safewater/dwsrf/index.html>

National Total: \$2.0 billion

New York State Total: \$86.8 million

Entities Eligible to Apply: Community water systems, both public and privately owned, and non-profit, non-community water systems

Flow of Funds: EPA distributes funds by formula to states. Projects that have previously submitted a pre-application and engineering report and that need funding during the current Federal fiscal year are included on the State's Readiness List of the Intended Use Plan (IUP). The limited availability of funds determines the number of projects that can receive financing.

Obligation Deadline: One year from enactment (February 17, 2010).

Reporting Requirements: Current construction status reports continue. EPA developing additional reporting requirements to comply with Recovery Act.

State Agency: Department of Health, co-administered by Environmental Facilities Corporation

State Agency Web Site: www.recovery.ny.gov
<http://www.nysefc.org/home/index.asp?page=15>
<http://health.state.ny.us/environmental/water/drinking/water.htm>

State Agency Technical Contact: Victor Pisani Robert Reed
vep01@health.state.ny.us rwr01@health.state.ny.us
518-402-7650 518-474-8565

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Brownfields Projects Grants will be used to clean up, revitalize, and sustainably reuse contaminated properties. The funds will be awarded to eligible entities through job training, assessment, revolving loan fund, and cleanup grants. On March 19, 2009, USEPA issued a request for applications (RFA) from eligible governmental entities and nonprofit organizations to provide environmental job training projects that will facilitate job creation in the assessment, remediation, or preparation of brownfields sites for sustainable reuse. The closing date for receipt of applications is April 20, 2009. Information on brownfield assessment, revolving loan fund and cleanup grants programs is not yet available.

Federal Agency: U.S. Environmental Protection Agency (USEPA)

Federal Agency Web Site: <http://www.epa.gov/recovery/>

National Total: \$100 million

New York State Total: Undetermined.

Entities Eligible to Apply: States, local governments, not-for-profit organizations and others.

Flow of Funds: The funds will flow directly from USEPA to the eligible entity. No matching funds are needed.

Obligation Deadline: September 30, 2011.

Reporting Requirements: USEPA is in the process of developing reporting requirements.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Ted Bennett, tabennet@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	The National Clean Diesel Campaign portion of the Recovery Act funding is divided into four programs: <ul style="list-style-type: none">• The National Clean Diesel Funding Assistance Program will receive approximately \$156 million. This funding will be awarded on a competitive basis to support diesel emission reduction programs.• The National Clean Diesel Emerging Technology Program will receive approximately \$20 million. This funding will support the use, development and commercialization of emerging technologies that reduce emissions from diesel engines.• The SmartWay Clean Diesel Finance Program will receive approximately \$30 million. This funding will support the creation of national, state or local innovative clean diesel financing programs.• The State Clean Diesel Grant Program will receive approximately \$88 million. This funding will be available to support clean diesel grant and loan programs administered by states and the District of Columbia.
Federal Agency:	U.S. Environmental Protection Agency
Federal Agency Web Site:	http://www.epa.gov/recovery/
National Total:	\$300 million
New York State Total:	\$1.7 million for the State Clean Diesel Grant Program appropriation; undetermined for the remaining appropriations.
Entities Eligible to Apply:	States, local governments, tribes, not-for-profits and authorities
Flow of Funds:	The funds will flow from USEPA to the eligible entity. No matching funds are needed.
Obligation Deadline:	September 30, 2011.
Reporting Requirements:	USEPA is in the process of developing reporting requirements.
State Agency:	State Clean Diesel Grant Program - Department of Environmental Conservation Other Diesel Programs – NYSERDA and DEC
State Agency Web Site:	www.recovery.ny.gov www.dec.ny.gov http://www.nyserdera.org/economicstimulus/default.asp
State Agency Technical Contact:	Steve Flint, seflint@gw.dec.state.ny.us

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**FFY 2009 American Recovery and reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Capital Improvement & Maintenance

Federal Agency: USDA Forest Service

Federal Agency Website: http://www.usda.gov/wps/portal/?navid=USDA_ARRA

National Total: \$650 million

New York State Total: N/A; 1st set of projects did not include any in New York (represents 10% of ARRA funded projects by Forest Service)

Entities Eligible to Apply: Forest Service obligates funds to projects

Flow of Funds: Discretionary; directly to project

Obligation Deadline: N/A

Reporting Requirements: (a) Within 30 days of enactment, each agency receiving funds under this title shall submit a general plan for the expenditure of such funds to the House and Senate Committees on Appropriations.
(b) Within 90 days of enactment of this Act, each agency receiving funds under this title shall submit to the Committees a report containing detailed project level information associated with the general plan submitted pursuant to subsection (a).

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Wildland Fire Management. The American Recovery and Reinvestment Act provides funding up to \$250 million to United States Forest Service for a variety of state and private forestry programs administered by the New York State Department of Environmental Conservation (DEC). New York, along with all 50 states, is competing for its share of the funds provided for programs such as Forest Health, Public Land Stewardship, Urban and Community Forestry Programs, Woody Biomass Use and Invasive Species eradication efforts.
Federal Agency:	U.S. Forest Service (USFS)
Federal Agency Web Site:	www.fs.fed.gov
National Total:	\$500 million of which \$250 million is available for state and private lands; \$50 million is available for competitive biomass grants
New York State Total:	The New York State Department of Environmental Conservation has applied for approximately \$27.2 million in State and Private Forestry funds under this appropriation, including the following: <ol style="list-style-type: none">1. Ecosystem and Watershed Enhancements on New York's Public Lands;2. Northern Forest Biomass Conversion;3. Firewood Movement Regulation, Invasive Species Outreach and Education;4. Eradication of Oak Wilt disease and Giant Hogweed;5. Hazardous fuels, prescribed burn program in partnership with The Nature Conservancy;6. Volunteer Fire Department Wild Land Fire Suppression Training; and7. Campfire Reduction. The USFS has not yet advised the State on which projects have been approved.
Entities Eligible to Apply:	States, tribes and not-for-profit organizations. The USFS deadline for applications has already passed.
Flow of Funds:	The USFS will select projects from those provided through applications from the states and other organizations. Funds will be allocated according to USFS region.
Obligation Deadline:	According to the U.S. Forest Service, most activities will be completed in 2-3 years.
Reporting Requirement:	The USFS has not yet provided this information.
State Agency:	Department of Environmental Conservation

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State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical contact: S. McCrea Burnham, smburnha@gw.dec.state.ny.us

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JUSTICE, HOMELAND SECURITY, AND MILITARY

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	<p>Recovery Act Edward Byrne Memorial Justice Assistance Grant (JAG) Program provides funding for grants to assist state, local, and tribal law enforcement (including support for hiring). JAG funds may be used for state and local initiatives, technical assistance, training, personnel, equipment, supplies, contractual support, and information systems for criminal justice, as well as criminal justice-related research and evaluation activities that will improve or enhance:</p> <ul style="list-style-type: none">• Law enforcement programs.• Prosecution and court programs.• Prevention and education programs.• Corrections and community corrections programs.• Drug treatment and enforcement programs.• Planning, evaluation, and technology improvement programs.• Crime victim and witness programs (other than compensation).
Federal Agency:	Department of Justice, Office of Justice Programs
Agency Web Site:	http://www.usdoj.gov/ http://www.ojp.usdoj.gov/BJA/grant/jag.html (program site)
National Total:	\$2 billion
New York State Total:	\$110 million (\$67,268,484 million by formula)
Entities Eligible to Apply:	Applicants for Recovery JAG local awards are limited to units of local government. For Recovery JAG Program purposes, a unit of local government is: a town, township, village, parish, city, county, or other general purpose political subdivision of a state; any law enforcement district or judicial enforcement district that is established under applicable state law and has authority to, in a manner independent of other state entities, establish a budget and impose taxes; or, a federally recognized Indian tribe or Alaskan Native organization that performs law enforcement functions as determined by the Secretary of the Interior.
Flow of Funds:	DCJS (Office of Funding and Program Development – OPDF) prepares an application which includes a program narrative; budget and budget narrative; and required certifications. DCJS submits the application to the U.S. Department of Justice, Office of Justice Programs (OJP)– due April 9, 2009. The DOJ notifies DCJS of its approval/disapproval of the application and issues an award. DCJS accepts the award and any Special Conditions – usually 60 to 90 days. OPDF drafts Request for Proposals/Applications (RFP) based on its public policy objectives and federal program guidelines. Finalized RFP is announced in State

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Register, posted to DCJS Website, and communicated via email to potential applicants (if applicable) – 6 to 8 weeks.

OPDF receives subgrant applications for review. Successful applicants are notified of their awards - review process encompasses roughly 4-6 weeks. DCJS Finance Office prepares budget certification for funds—approximately 30 days – and the prepares and submits a procurement binder to the Office of the State Comptroller for approval – approximately 30 days.

OPDF negotiates a grant contract with recipient agency. Subgrantees accept contracts. OPDF Director accepts completed contracts on behalf of DCJS. Accepted contract is routed for state agency approvals – length of time varies depending negotiation period and state agency approvals (45 to 60 days). The contract is then executed and the subgrant program may then submit for reimbursement using state aid vouchers for expenses as outlined in the grant contract and in accordance with DCJS contractual requirements.

Obligation Deadline: February 28, 2013

Reporting Requirements:

Quarterly Financial and Programmatic Reporting

Consistent with the Recovery Act emphasis on accountability and transparency, reporting requirements under Recovery Act grant programs will differ from and expand upon OJP’s standard reporting requirements for grants. In particular, section 1512(c) of the Recovery Act sets out detailed requirements for quarterly reports that must be submitted within 10 days of the end of each calendar quarter. Receipt of funds will be contingent on meeting the Recovery Act reporting requirements.

Under this Recovery Act program, quarterly financial and programmatic reporting will be required, and will be due within 10 calendar days after the end of each calendar quarter, starting July 10, 2009.

Programmatic and Financial Reporting Periods	Due Dates
October- December	January 10
January- March	April 10
April-June	July 10
July-September	October 10

The information from grantee reports will be posted on a public website. To the extent that grant funds are available to pay a grantee’s administrative expenses, those funds may be used to assist the grantee in meeting the accelerated time-frame and extensive reporting requirements of the Recovery Act.

Recovery Act grant recipients may expect that the information posted by OJP will identify grantees that are delinquent in their reporting. In addition, in keeping with standard OJP practice, grant recipients who do

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not submit required reports by the due date will not be permitted to draw down funds thereafter, during the pendency of the delinquency, and may be subject to other appropriate actions by OJP, including, but not limited to, restrictions on eligibility for future OJP awards, restrictions on draw-down on other OJP awards, and suspension or termination of the Recovery Act award.

Funding recipients may expect that a standard form and/or reporting mechanism may be available. Additional instructions and guidance regarding the required reporting will be provided as they become available. For planning purposes, however, all applicants should be aware that the Recovery Act section 1512(c) provides as follows:

Recipient Reports: Not later than 10 days after the end of each calendar quarter, each recipient that received recovery funds from a Federal agency shall submit a report to that agency that contains—

- (1) the total amount of recovery funds received from that agency;
- (2) the amount of recovery funds received that were expended or obligated to projects or activities; and
- (3) a detailed list of all projects or activities for which recovery funds were expended or obligated, including--
 - (A) the name of the project or activity;
 - (B) a description of the project or activity;
 - (C) an evaluation of the completion status of the project or activity;
 - (D) an estimate of the number of jobs created and the number of jobs retained by the project or activity; and
 - (E) for infrastructure investments made by state and local governments, the purpose, total cost, and rationale of the agency for funding the infrastructure investment with funds made available under this Act, and name of the person to contact at the agency if there are concerns with the infrastructure investment.
- (4) Detailed information on any subcontracts or subgrants awarded by the recipient to include the data elements required to comply with the Federal Funding Accountability and Transparency Act of 2006 (Public Law 109-282), allowing aggregate reporting on awards below \$25,000 or to individuals, as prescribed by the Director of the Office of Management and Budget.

Performance Measures

To assist in fulfilling the accountability objectives of the Recovery Act, as well as the Department's responsibilities under the Government Performance and Results Act of 1993 (GPRA), Public Law 103-62, applicants who receive funding under this solicitation must provide data that measure the results of their work. In addition, applicants must discuss their data collection methods in the application. The following are **required** measures for awards made under the Recovery Act:

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Objective	Performance Measures	Data the grantee provides for 3-month reporting period	Description (Plain language explanation of what exactly is being provided)
Recovery Act: Preserving jobs	Number of jobs saved (by type) due to Recovery Act funding.	a) How many jobs were prevented from being eliminated with the Recovery Act funding during this reporting period? b) How many jobs that were eliminated within the last 12 months were reinstated with Recovery Act funding?	An unduplicated number of jobs that would have been eliminated if not for the Recovery Act funding during the three-month quarter. Report this data for each position only once during the grant. A job can include full time, part time, contractual, or other employment relationship.
Recovery Act: Creating jobs	Number of jobs created (by type) due to Recovery Act funding.	How many jobs were created with Recovery Act funding this reporting period?	An unduplicated number of jobs created due to Recovery Act funding during the three month quarter. Report this data for each position only once during the grant. A job can include full time, part time, contractual, or other employment relationship.

State Agency: NYS Division of Criminal Justice Services

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

State Agency Technical Contact: Anne Marie Strano
(518) 457-8462
annemarie.strano@dcjs.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: State & Local Law Enforcement Assistance (Byrne Competitive Grants). Competitive grants to help state and local communities improve the capacity of local justice systems. For more information, contact: <http://www.ojp.usdoj.gov/BJA/recoveryact.html>

Federal Agency: Department of Justice (DOJ)

Federal Agency Web Site: <http://www.usdoj.gov/>
<http://www.ojp.usdoj.gov/BJA/grant/jag.html> (program site)

National Total: \$225 million

New York State Total: N/A

Entities Eligible to Apply: Applicants may be national, regional, state, or local public and private entities, including for-profit (commercial) and non-profit organizations, faith-based and community organizations, institutions of higher education, tribal jurisdictions, and units of local government that support the functioning of the criminal justice system.

Flow of Funds: Discretionary (competitive); Direct to grantee.

Obligation Deadline: September 30, 2010

Reporting Requirements: Consistent with the special purposes and goals of the Recovery Act, it is essential that all funds from a Recovery Act grant be tracked, accounted for, and reported on separately from all other funds (including DOJ grant funds from non-Recovery Act grants awarded for the same or similar purposes or programs). Recipients must also be prepared to track and report on the specific outcomes and benefits attributable to use of Recovery Act funds. Funds from any award under the Recovery Act can not be commingled with funds from any other source. Reporting requirements under Recovery Act programs will differ from and expand upon DOJ's standard reporting requirement for grants. Quarterly reports, financial and programmatic, must be submitted within 10 days of the end of each calendar quarter starting July 10, 2009.

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

State Agency Technical Contact: Anne Marie Strano
(518) 457-8462
annemarie.strano@dcjs.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	State & Local Law Enforcement Assistance (Rural Assistance). This is a competitive grant program to provide assistance to law enforcement in rural states and rural areas to prevent and combat crime, especially drug related crime. The deadline to submit applications is April 22, 2009.
Federal Agency:	Department of Justice
Agency Web Site:	http://www.usdoj.gov/ http://www.ojp.usdoj.gov/BJA/recoveryact.html .
National Total:	\$125 million
New York State Total:	N/A
Entities Eligible to Apply:	<p>There are two types of applicants for New York State: The first type of applicants are limited to local (including county) and tribal law enforcement agencies located in “rural areas” which are defined as counties with populations less than 50,000. In New York State, the following counties and localities within these counties are eligible: Alleghany, Cortland, Delaware, Essex, Greene, Hamilton, Lewis, Montgomery, Orleans, Schoharie, Schuyler, Seneca, Wyoming, and Yates. For purposes of this solicitation, local law enforcement agencies include local prosecutors, parole, probation, and community corrections agencies.</p> <p>The second type of applicants are solicited from national, regional, state, and local public and private entities, including for-profit (commercial) and nonprofit organizations, institutions of higher education, faith based and community organizations, tribal jurisdictions, and units of local government to provide training and technical assistance across the nation to qualifying rural areas and rural states.</p>
Flow of Funds:	Discretionary (competitive); Direct to grantee.
Obligation Deadline:	September 30, 2010
Reporting Requirements:	In order to receive funds under this solicitation, applicants must certify that they will satisfy the reporting requirements of section 1512(c) of the Recovery Act, which requires detailed reporting (including reporting on subawards) not later than ten calendar days after the end of each calendar quarter. Grant recipients will participate in an evaluation of any programs supported through this solicitation. The National Institute of Justice will manage these evaluations activities through the selection of evaluators who will work directly with grant recipients. Each applicant

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must certify their willingness and capacity to participate in an evaluation, and to provide access to data.

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

State Agency Technical Contact: Anne Marie Strano
(518) 457-8462
annemarie.strano@dcjs.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State and Local Law Enforcement Assistance (COPS). The COPS Hiring Recovery Program (CHRP) is a competitive grant program that provides funding directly to laws enforcement agencies to create and preserve jobs and to increase crime prevention efforts. CHRP grants will provide 100 percent funding for approved entry-level salaries and benefits for 3 years (36 months) for newly hired, full time sworn officer positions (including filling existing unfunded vacancies) or for rehired officers who have been laid off, or are scheduled to be laid off on a future date, as a result of local budget cuts. At the conclusion of federal funding, grantees must retain all sworn officer positions awarded under the CHRP grant for a period of 12 months.

Federal Agency: Department of Justice

Federal Agency Web Site: Applications for CHRP grants will be accepted only online through the COPS Office website at <http://www.cops.usdoj.gov> . CHRP grant solicitation opens March 16, 2009 and closes April 14, 2009.

National Total: \$1 billion

New York State Total: N/A

Entities eligible to apply: Municipalities

Flow of Funds: Discretionary (competitive); Direct to grantee.

Obligation Deadline: September 30, 2010

Reporting Requirements: The American Recovery and Reinvestment Act (Recovery Act) requires grantees to report their financial and programmatic progress within 10 days after the end of each calendar quarter. The Recovery Act reporting requirements are in addition to quarterly financial status report and quarterly programmatic progress report requirements. The COPS Office plans to request information from grantees consistent with Section 1512 of the Recovery Act, including collecting information on the number of new jobs created and the number of jobs preserved using CHRP funding. In order to aid in compliance with the reporting requirements, awarded agencies should be prepared to track and report CHRP funding separately from other funding sources (including other COPS and federal grants) to ensure accurate financial and programmatic reporting on a timely basis.

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

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State Agency Technical Contact:

Anne Marie Strano, annemarie.strano@dcjs.state.ny.us
(518) 457-8462

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State and Local Law Enforcement Assistance (Tribal Assistance). The Recovery Act Correctional Facilities on Tribal Lands Program assists tribes in cost effectively constructing and renovating correctional facilities associated with the incarceration and rehabilitation of juvenile and adult offenders subject to tribal jurisdiction. In addition, this funding allows tribes to explore community-based alternatives to help control and prevent jail overcrowding due to alcohol and other substance abuse. Application deadline is May 4, 2009.

Federal Agency: Department of Justice

Agency Web Site: <http://www.usdoj.gov/>

National Total: \$225 million

New York State Total: N/A

Entities Eligible to Apply: Applicants for Categories I–IV are limited to federally recognized Indian tribes, including Alaska Native villages and corporations, and authorized intertribal consortia. For Category V applicants are limited to tribal and non-tribal for-profit (commercial) organizations, non-profit organizations, faith-based and community organizations, institutions of higher learning, and consortiums with demonstrated national level experience in working with tribal representatives to plan, renovate, and construct correctional facilities that serve local and regional needs. “Indian tribe” is defined under the Indian Self Determination and Educational Assistance Act, 25 U.S.C. 450b(e). For-profit organizations must agree to waive any profit or fees for services.

Flow of Funds: Discretionary (competitive); Direct to grantee.

Obligation Deadline: September 30, 2010

Reporting Requirements: In order to be eligible to receive funds under this solicitation, applicants must certify that they will satisfy the reporting requirements of section 1512(c) of the Recovery Act, which requires detailed reporting (including reporting on subawards). Applicants may expect that a standard form and/or standard reporting mechanism will be made available at a future date.

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

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State Agency Technical Contact:

Anne Marie Strano, annemarie.strano@dcjs.state.ny.us
(518) 457-8462

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	State & Local Law Enforcement Assistance (Violence Against Women). The American Recovery and Reinvestment Act provides funding to assist state, local, tribal and non-profit entities respond to violence against women through the STOP program (\$175 million). The STOP program (Services – Training – Officers – Prosecutors) aims to improve law enforcement and prosecution strategies to address violent crimes against women and the development of victim services. A STOP grant may not cover more than 75% of the total costs of the project being funded. The applicant must identify the source of the 25% non-Federal portion of the budget and how match funds will be used. Applicants may satisfy the required match with either cash or in-kind services.
Federal Agency:	Department of Justice (DOJ)
Agency Web Site:	http://www.ovw.usdoj.gov/ http://www.ovw.usdoj.gov/stop_grant_desc.htm .
National Total:	\$225 million
New York State Total:	\$7.4 million in STOP funding
Entities Eligible to Apply:	State and local units of government, Indian Tribes and private, not-for-profit agencies, including faith-based and community.
Flow of Funds:	STOP is Formula; Transitional Housing is Discretionary; An RFP will be released outlining Federal and State priorities; eligibility requirements, etc. Applications will be required and submitted on the DCJS Grants Management System. All applications will be reviewed by two Victim Services Unit staff members and recommendations will be provided to the Commissioner for final determination. As per Federal statute, 25% must be provided to law enforcement; 25% to prosecution, 30% to victim service providers, 5% to courts, and the remaining 15% is discretionary. Of the 30% victim services portion, 10% must be provided to underserved populations (cultural, age, rural, etc.). In addition, the funds must be distributed in a geographically appropriate fashion to ensure as large a statewide distribution as possible.
Obligation Deadline:	Grant funds must be spent within two years from the date of the award.
Reporting Requirements:	The programmatic and fiscal reporting requirements are quarterly and will be due within 10 calendar days after the end of each calendar quarter, starting July 10. DOJ expects to have a standard form for reporting prepared for grantees (and/or subgrantees) to fill in. The reports must contain information on the total amount of recovery funds

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received; the amount of recovery funds received that were expended or obligated; a detailed list of all projects or activities; (including name, description, evaluation of the project, estimate of number of jobs created and number of jobs retained; for infrastructure investments made by state and local governments, the purpose, total costs and rationale of the agency for funding infrastructure investments, and detailed information on any subcontracts or subgrants awarded by the recipient .

DOJ also requires performance measures showing the number and percentage of arrests relative to the number of police responses to domestic violence incidents; number of sexual assault nurse examiners trained; number of victims receiving requested services and number of victim advocates supported by grant funding (to be collected via the standard reporting form).

State Agency: Division of Criminal Justice Services

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

State Agency Technical Contact: Anne Marie Strano
(518) 457-8462
annemarie.strano@dcjs.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Victims of Crime Act (VOCA) Victim Compensation Formula Grant Program to support the provision of crucial financial assistance to victims.

Federal Agency: Department of Justice

Federal Agency Web Site: <http://www.usdoj.gov/>
<http://www.ojp.usdoj.gov/ovc>

National Total: \$47.5 million

New York State Total: \$2,829,174

Entities Eligible to Apply: Victims of Crime in New York State.

Flow of Funds: Under VOCA, each state compensation program receives an annual grant equal to 60% of what the program spends in state money annually. The calculation for the grant in any given federal fiscal year is based on the state-dollar payout for the federal fiscal year two years previous to the year of the federal grant. Victims of a crime in New York submit crime victim compensation applications to the NYS Crime Victims Board (CVB). CVB investigates the claim, determines eligibility and compensable loss, if any, and renders a decision, which may include financial compensation.

Obligation Deadline: Each grant may be spent during the federal fiscal year of the award, plus the next succeeding three federal fiscal years.

Reporting Requirements: Quarterly financial and programmatic reporting is required and will be due within ten calendar days after the end of each quarter starting July 10, 2009.

State Agency: NYS Crime Victims Board

State Agency Web Site: www.recovery.ny.gov <http://www.cvb.state.ny.us>

State Agency Technical Contact: Virginia A. Miller, ginnymiller@cvb.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	Victims of Crime Act (VOCA) Victim Assistance Formula Grant Program to support the provision of services to victims of crime throughout the nation.
Federal Agency:	Department of Justice
Federal Agency Web Site:	http://www.usdoj.gov/ http://www.ojp.usdoj.gov/ovc
National Total:	\$47.5 million
New York State Total:	\$1,789,000
Entities Eligible to Apply:	A victim assistance program that is operated by a public agency, non-profit organization and that portion of the then remaining available money to each State that results from a distribution among the States on the basis of each State's population. The State then awards contracts to those Victim Assistance Programs that are successful bidders under a competitive request for proposal.
Flow of Funds:	Federal funding is based on a formula. The formula includes a base amount for each State and that portion of the then remaining available money to each State that results from a distribution among the States on the basis of each State's population in relation to the population of all States. The State then awards contracts to those Victim Assistance Programs that are successful bidders under a competitive request for proposal.
Obligation Deadline:	Each grant may be spent during the federal fiscal year of the award, plus the next succeeding three federal fiscal years.
Reporting Requirements:	Quarterly financial and programmatic reporting is required and will be due within ten calendar days after the end of each calendar quarter starting July 10, 2009.
State Agency:	NYS Crime Victims Board
State Agency Web Site:	www.recovery.ny.gov http://www.cvb.state.ny.us
State Agency Technical Contact:	Virginia A. Miller, ginnymiller@cvb.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State and Local Law Enforcement Assistance (Internet Crimes Against Children). The ARRA provides \$50 million in competitive grant funds to state's Internet Crimes Against Children (ICAC) task forces to develop an effective response to cyber enticement and child pornography cases through forensic and investigative enhancement, training and technical assistance, victim services, and community education. There will be 59 awards made to existing task forces.

Federal Agency: Department of Justice

Federal Agency Web Site: <http://www.usdoj.gov/>
<http://www.ojjdp.ncjrs.gov/Programs/ProgSummary.asp?pi=3>

National Total: \$50 million

New York State Total: New York will receive \$1.6 million.

Entities Eligible to Apply: Applicants are limited to only those State and local law enforcement and prosecutorial agencies who are currently receiving funds under the ICAC Task Force Program.

Flow of Funds: Funds are held by the federal entity and drawn down to the New York State Police's General Fund and then dispersed as expensed by the ICAC Task Force. The funds have been allocated to support the existing Task Force affiliate agencies in their endeavor to combat the online sexual exploitation of children. The due date for applying for funding under this announcement is 12:00 p.m. Eastern Time on April 8, 2009.

Obligation Deadline: September 30, 2010

Reporting Requirements: Quarterly reports to OJJDP are due within 10 days of the end of each quarter.

State Agency: New York State Police

State Agency Web Site: www.recovery.ny.gov
http://www.troopers.state.ny.us/criminal_investigation/Internet_Crimes_Against_Children/

State Agency Technical Contact: Captain Frank Pace, NYS Task Force Commander
518-457-5712

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**FFY 2009 American recovery and reinvestment act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: State and Local Law Enforcement Assistance (management and administrative assistance).

Federal Agency: Department of Justice

Federal Agency Web Site: <http://www.usdoj.gov/>

National Total: \$10 million

New York State Total: N/A

Entities Eligible to Apply: Only the Department of Justice is eligible.

Flow of Funds: N/A

Obligation Deadline: September 30, 2010

Reporting Requirements: N/A

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov <http://criminaljustice.state.ny.us/>

State Agency Technical Contact: Anne Marie Strano
(518) 457-8462
annemarie.strano@dcjs.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Sustainment, Restoration and Modernization (SRM) Projects allocated to the New York National Guard. Recovery Act provides \$4.24 billion of funds for Facilities Sustainment, Restoration, and Modernization (FSRM).

Federal Agency: Department of Defense (DoD)

Federal Agency Website: <http://www.defenselink.mil/recovery>

National Total: \$4.24 Billion for Facilities Sustainment, Restoration and Modernization (SRM)

New York State Total: \$10,571,000 was allocated to the New York National Guard for 9 SRM Projects. Note: There was \$102,087,000 dollars allocated to 88 SRM Projects at all other military installations in New York. Projects listed on next page.

Entities Eligible to Apply: the Department selected projects based primarily on the following criteria: (1) mission requirements, (2) quality of life impact, (3) NEPA documentation status, and (4) acquisition strategy, with a focus on ability to execute quickly. In addition, the Department included repair and modernization projects for facilities that are currently vacant, which potentially could be occupied by troops returning from Iraq or Afghanistan.

Flow of Funds: National Guard Bureau is the Agency that administers the funds to the state.

Obligation Deadline: fiscal year 2010

Reporting Requirements: Conference Report accompanying the Recovery Act also requests that not later than 60 days after enactment of the Act, the Department provide the congressional defense committees with a project listing of how the funds will be obligated.

State Agency: Division of Military and Naval Affairs (DMNA)

State Agency Web Site: www.recovery.ny.gov www.dmna.state.ny.us

State Agency Technical Contact: Michael Ostrander, Michael.ostrander@us.army.mil

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SRM Projects for the New York National Guard –

Gabreski Air National Guard Base – \$2M
Binghamton Armory – \$25K
Rochester Combined Support Maintenance Shop – \$1.516M
Camp Smith – \$6.1M
Rochester Flight Facility – \$150K
Hornell Armory – \$150K
Ronkonkoma Flight Facility – \$630K

SRM Projects for other military installations in New York - Army

Ft. Drum – \$70.803M
Ft. Hamilton – \$970K
Watervliet Arsenal – \$2.890M
West Point – \$19.613M

SRM Projects for Navy/Marine Corp in New York

Stewart Airport – \$3.603M
Bronx – \$2.760M

SRM Projects – Air Force

Rome Labs – \$881K
Newport Test Annex – \$988K

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	Investigation projects, such as Feasibility Studies, which can be undertaken or completed using American Recovery and Reinvestment Act (ARRA) funds. ACOE Feasibility Studies are undertaken pursuant to a Congressional directive, and this funding assumes that the directive has already been made.
Federal Agency:	U.S. Army Corps of Engineers (ACOE)
Federal Agency Web Site:	http://www.usace.army.mil/recovery/Pages/default.aspx
National Total:	\$25 million
New York State Total:	Undetermined.
Entities Eligible to Apply:	Not applicable. The ACOE will select the projects on which it will undertake new feasibility studies or complete existing ones.
Flow of Funds:	The ACOE will select projects to be investigated using ARRA funds from the lists of projects authorized through various Water Resources Development Acts or Congressional Committee directives, with the focus on studies that are already underway or on schedule for the immediate future. Non-federal cost shares, as set by federal law, apply to these projects. The ACOE can reallocate the funds to other projects if a specific project is not ready to proceed or if non-federal cost shares are not provided.
Obligation Deadline:	Not provided.
Reporting Requirement:	The ACOE will provide weekly update reports, monthly financial data, awards of prime contracts and grants, and agency-wide and program-specific plans and data. Since the ACOE is responsible for implementing this program, the reporting burden falls upon them.
State Agency:	Department of Environmental Conservation
State Agency Web Site:	www.recovery.ny.gov www.dec.ny.gov
State Agency Technical contact:	Michael Stankiewicz, mrstanki@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Construction Projects for water resources development projects which have navigation, flood and storm damage reduction, water supply, hydroelectric, environmental restoration, and other attendant benefits that already have been studied by the ACOE.

Federal Agency: U.S. Army Corps of Engineers (ACOE)

Federal Agency Web Site: <http://www.usace.army.mil/recovery/Pages/default.aspx>

National Total: \$2 billion, of which at least \$200 million must be for water-related environmental infrastructure

New York State Total: Undetermined.

Entities Eligible to Apply: Not applicable. The ACOE will select the projects for construction.

Flow of Funds: The ACOE will select projects to be constructed using stimulus funds from the construction projects and feasibility studies already developed by ACOE staff, with the focus on construction that is already underway or on schedule in the immediate future. Only projects which have completed feasibility studies will be eligible for funding. Non-federal cost shares, as set by federal law, apply to these projects. The ACOE can reallocate the funds to other projects if the project is not ready to proceed or if non-federal cost shares are not provided.

Obligation Deadline: Not provided.

Reporting Requirement: The ACOE will provide weekly update reports, monthly financial data, awards of prime contracts and grants, and agency-wide and program-specific plans and data. Since the ACOE is responsible for implementing this program, the reporting burden falls upon them.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Michael Stankiewicz, mrstanki@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Operations and Maintenance Projects undertaken by the Army Corps of Engineers (ACOE) to maintain federal projects. Work to be accomplished consists of dredging, repair, and operation of structures and other facilities as authorized by federal law.

Federal Agency: U.S. Army Corps of Engineers

Federal Agency Web Site: <http://www.usace.army.mil/recovery/Pages/default.aspx>

National Total: \$2.075 billion

New York State Total: Undetermined.

Entities Eligible to Apply: Not applicable. The ACOE will select the projects for implementation.

Flow of Funds: The ACOE will select projects for operations and maintenance activities using stimulus funds. Only 100% federally-maintained projects are eligible; non-federal cost shares do not apply to these projects. The ACOE can reallocate the funds to other projects if the project is not ready to proceed or if non-federal cost shares are not provided.

Obligation Deadline: Not provided.

Reporting Requirement: The ACOE will provide weekly update reports, monthly financial data, awards of prime contracts and grants, and agency-wide and program-specific plans and data. Since the ACOE is responsible for implementing this program, the reporting burden falls upon them.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical contact: Michael Stankiewicz, mrstanki@gw.dec.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Formerly Utilized Sites Remedial Action Program (FUSRAP) to remediate federally-owned sites which have been contaminated with radioactive material. The Corps will prioritize this funding for sites that are nearing completion.

Federal Agency: Army Corps of Engineers

Federal Agency Web Site: <http://www.usace.army.mil/recovery/Pages/default.aspx>

National Total: \$100 million.

New York State Total: Undetermined.

Entities Eligible to Apply: Not applicable. The ACOE will select the projects for construction.

Flow of Funds: The ACOE will directly expend the funds on specific FUSRAP sites. No non-federal matching funds are needed.

Obligation Deadline: Not provided.

Reporting Requirements: The ACOE will provide weekly update reports, monthly financial data, awards of prime contracts and grants, and agency-wide and program-specific plans and data. Since the ACOE is responsible for implementing this program, the reporting burden falls upon them.

State Agency: Department of Environmental Conservation

State Agency Web Site: www.recovery.ny.gov www.dec.ny.gov

State Agency Technical Contact: Edwin Dassatti, eedassat@gw.dec.state.ny.us

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FEMA Port Security Grant Program

Federal Agency: DHS. Coast Guard controls the grant program; FEMA distributes the funds

Federal Agency Website: <http://www.fema.gov/>

National Total: \$150 million

New York State Total: N/A

Entities Eligible to Apply: Port areas

Flow of Funds: TBD; Coast Guard has yet to release guidance, though it will likely mirror the existing flow of funds, which is from Coast Guard directly to the recipient agency.

Obligation Deadline: TBD, Coast Guard is working to release guidance

Reporting Requirements: TBD, as above

State Agency: Port Authority of New York and New Jersey

State Agency Web Site: www.recovery.ny.gov www.panynj.gov

State Agency Technical Contact: Nairi Hourdajian, nhourdajian@panynj.gov

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: FEMA Transit Security Grant Program

Federal Agency: DHS. TSA controls the grant program; FEMA distributes the funds

Federal Agency Website: <http://www.fema.gov/>

National Total: \$150 million

New York State Total: N/A; traditionally the region has received approximately 40% of annual grant funds.

Entities Eligible to Apply: Public transportation agencies

Flow of Funds: TBD; TSA has yet to release guidance, though it will likely mirror the existing flow of funds, which is from TSA directly to the recipient agency.

Obligation Deadline: TBD, TSA is working to release guidance

Reporting Requirements: TBD, as above

State Agency: Port Authority of New York and New Jersey
Metropolitan Transportation Authority (MTA)

State Agency Web Site: www.panynj.gov <http://mta.info>

State Agency Technical Contact: Nairi Hourdajian, nhourdajian@panynj.gov
Sarah Rios, SRios@mtahq.org
(212) 878-7080

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Firefighter Assistance Grants
This federally administered competitive grant program will provide funding to new and existing fire station construction projects. All non-federal fire departments are eligible to apply. The total application request cannot exceed \$15 million.

Federal Agency: United States Fire Administration
FEMA (Department of Homeland Security)

Agency Web Site: <http://www.usfa.dhs.gov/>

National Total: \$210,000,000

New York State Total: Competitive Grant Application Pending FEMA Grant Guidance

Entities Eligible to Apply: All non-federal fire departments that wish to undertake construction projects

Flow of Funds: Monies would travel directly from the Department of Homeland Security to recipients

Obligation Deadline: Fire departments will likely have one opportunity to submit a grant application

Reporting Requirements: Recipients of grant funds will follow yet to be promulgated rules with regard to reporting to the federal Department of Homeland Security

State Agency: Office of Homeland Security
Department of State

State Agency Web Site: www.recovery.ny.gov
www.security.state.ny.us
<http://dos.state.ny.us>

State Agency Technical Contact: Thomas Gallagher
518-457-9219
tgallagher@security.state.ny.us

Floyd Madison, State Fire Administrator
(518) 474-6746

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Military Construction

Federal Agency: Department of Defense (DoD)

Federal Agency Website: <http://www.defenselink.mil/recovery>

National Total: \$2.3 billion will be spent on military construction across the country. This includes active installations and National Guard.

New York State Total: \$13.8 Million is allocated to 3 Projects on 2 installations
Ft. Drum – \$12.3 million (2 projects); Ft. Hamilton – \$1.5 million

Entities Eligible to Apply: The Recovery Act specified Military Construction to be funded under various categories such as child development centers, troop housing, etc. Within those categories, the Department selected validated priority projects based on several factors, primarily operational need and the ability to obligate funds quickly. Further, DoD considered the degree of its compliance with legal requirements within the National Environmental Policy Act (NEPA) and the acquisition strategy in determining how quickly a project could be executed.

Flow of Funds: National Guard Bureau is the Agency that administers the funds to the State. This project will utilize federal contracting procedures. Once the funds enter the state they will be obligated, contract awarded and disbursed all utilizing federal contracting. No state appropriations will be needed for this project. (Note: This is for Ft. Hamilton project only).

Obligation Deadline: Federal Fiscal Year 2013

Reporting Requirements: Not later than 30 days after the date of enactment of the Act, the Secretary of Defense shall submit to the Committees on Appropriations of both Houses of Congress an expenditure plan for funds provided under the following headings: Military Construction, Army,

State Agency: Division of Military and Naval Affairs (DMNA)

State Agency Web Site: www.recovery.ny.gov www.dmna.state.ny.us

State Agency Technical Contact: Michael Ostrander, Michael.ostrander@us.army.mil

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BUSINESS, ECONOMIC DEVELOPMENT, AND RURAL DEVELOPMENT

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Rural Community Facilities Direct Loans and Grants Program. Direct loans and grants are available to develop essential community facilities such as health care, public safety, telecommunications, daycare centers, fire related, municipal buildings, land acquisition, and educational services in rural areas and towns of up to 20,000 in population. Funds may be used for facility acquisition, construction, renovation, or the purchase of equipment and furnishings.
Federal Agency:	U.S. Department of Agriculture, Rural Development
Federal Agency Website:	http://www.rurdev.usda.gov
National Total:	\$1.1 billion is available for direct loans through fiscal year 2010. The average direct loan in 2008 was \$665,229. Over \$61 million is available for grants.
New York State Total:	While state allocations are currently embargoed, based on previous years amounts, it is estimated that New York will be allocated 2.5% or \$27.5 million in loans and \$1.5 million in grants.
Entities Eligible to Apply:	Loans and grants are open to public entities such as municipalities, counties, special purpose districts, nonprofit corporations, and tribal governments.
Flow of Funds:	Rural Development of USDA can make direct loans to borrowers, as well as guarantee up to 90% of loan amounts made and serviced by banks, savings and loans, mortgage companies, insurance companies, and others. Generally the direct loans are made to those entities unable to secure conventional commercial credit. In addition, it is common for Rural Development to combine direct loans and grants for a specific project. A typical grant is about \$25,000. Loans can be secured by bonds or notes pledging taxes, assessments, or revenues. Applications are handled directly by 10 USDA field offices in NY.
Obligation Deadline:	Continuous through September 30, 2010.
Reporting Requirements:	Semi-annual financial status reports and performance reports as well as a final report are required.
State Agency:	Empire State Development Corporation
State Agency Web Site:	www.empire.state.ny.us
State Agency Technical Contact:	Christopher Walsh, cwalsh@empire.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Rural Business & Cooperative Guaranteed Loans and Grants Program. Program objectives include the improvement of rural employment, and to develop or finance rural businesses. This is accomplished by bolstering the existing credit structure via loan guarantees. This program is also known as the rural business and industry program. Funds may be used to purchase a business slated to close, buy equipment, buildings or land, prevent loss of employment opportunities, or expand employment opportunities.
Federal Agency:	U.S. Department of Agriculture, Rural Development.
Federal Agency Website:	http://www.rurdev.usda.gov/rbs/busp/b&i_gar.htm
National Total:	The Guarantee Loan Program includes \$130 million and the Grant Program includes \$20 million.
New York State Total:	Individual state allocations have not yet been released by USDA. However, based on previous years percentages of the national total, it is estimated New York will be eligible for approximately \$22,000,000 in guaranteed loans and \$902,000 in grants.
Entities Eligible to Apply:	Cooperative organizations, corporations, partnerships, nonprofits, tribal organizations, public bodies, or individuals involved in business. The program is not intended for marginal or substandard loans or for the relief of lenders having such loans.
Flow of Funds:	USDA can guarantee loans within the range of 60% to 80%, depending upon the amount, which are made and serviced by banks, savings and loans, mortgage companies, insurance companies, and other institutions. In addition, it is common for Rural Development to combine loan guarantees and grants for a specific project. Loans must be secured by collateral and the discounted collateral value will normally be at least equal to the loan amount. Applications are handled directly by 10 USDA field offices in NY.
Obligation Deadline:	Continuous through September 30, 2010.
Reporting Requirements:	Semi-annual financial status reports and performance reports as well as a final report are required.
State Agency:	Empire State Development Corporation
State Agency Web Site:	www.empire.state.ny.us

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State Agency Technical Contact: Christopher Walsh, cwalsh@empire.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	<p>Economic Development Assistance Program: EDA will give priority consideration to those applications that will significantly benefit regions “that have experienced sudden and severe economic dislocation and job loss due to corporate restructuring,” as stipulated under the Recovery Act. EDA provides financial assistance to distressed communities in both urban and rural regions.</p> <p>Evaluation criteria for proposals include a preference for projects that: are market-based and results driven; show strong organizational leadership; advance productivity, innovation and entrepreneurship; look beyond the immediate economic horizon, anticipate economic changes and promote economic diversification; demonstrate a high degree of local commitment.</p>
Federal Agency:	U.S. Economic Development Administration (Commerce Department)
Federal Agency Website:	http://www.eda.gov/
National Total:	\$150 million: \$50 million for economic adjustment assistance, with priority consideration to areas that experienced sudden and severe economic hardships due to corporate restructuring. No more than 2% of the funds can be used for administration and oversight. Up to \$50 million of the funds are for federally authorized regional economic development commissions.
New York State Total:	Undetermined. Awards are being made through competitive grants.
Entities Eligible to Apply:	District organizations; Indian tribes or a consortium of Tribes; a state, city, or other political subdivision of a state, including a special purpose unit of a state or local government engaged in economic or infrastructure development activities, or a consortium of political subdivisions; institutions of higher education or a consortium; public or private non-profit organization or association acting in cooperation with officials of a political subdivision of a state
Flow of Funds:	Direct from EDA to grantee
Obligation Deadline:	Applications are accepted on a continuing basis and are processed as received.
Reporting Requirements:	Standard financial and performance (technical) reports are required in accordance with the terms and conditions of the grant award.
State Agency:	Empire State Development

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State Agency Web Site: www.recovery.ny.gov www.empire.state.ny.us

State Agency Technical Contact: Christopher Walsh, cwalsh@empire.state.ny.us

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website should be regularly monitored.***

FFY 2009 American Recovery and reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description:	Community Development Financial Institutions (CDFI)
Federal Agency:	Department of the Treasury
Federal Agency Website:	http://www.treas.gov/recovery/
National Total:	\$100 million (\$90 million to CDFIs; \$8 million under Native American CDFI Assistance; \$2 million for administrative expenses) For FY 2009 funding round, ARRA waives non-Federal match requirement and general requirement that no single awardee can receive more than \$5 million from CDFI fund within three-year period.
New York State Total:	N/A
Entities Eligible to Apply:	CDFIs
Flow of Funds:	Interested parties apply for Financial and/or Technical Assistance; application process will be reopened to new applicants and for the revision of existing applications because 2009 funding process was revised by ARRA. A first round of awards will be issued by June 15, 2009 and a second round of awards by September 15, 2009. The CDFIs will use these funds to provide financing and related services to communities that lack access to credit, capital and financial services.
Obligation Deadline:	N/A
Reporting Requirements:	Award recipients will at a minimum (i) invest award dollars into low-income communities in an expedited manner; (ii) track the use of these dollars on all investments; and (iii) provide data to the Federal government on a quarterly basis that indicates how award dollars were spent and the impacts (e.g., job creation) that were realized in the communities. Specific reporting requirements are still under development and will be outlined in each awardee's assistance agreement or allocation agreement. In the meantime, the CDFI Fund encourages all awardees to review the Office of Management and Budget's memorandum (http://www.whitehouse.gov/omb/assets/memoranda_fy2009/m09-10.pdf) regarding potential reporting requirements for organizations that receive award dollars under the Recovery Act, as well as any subsequent guidance posted on OMB's or the CDFI Fund's website.
State Agency:	N/A
State Agency Web Site:	www.recovery.ny.gov

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State Agency Technical Contact: N/A

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Federal Buildings Fund

Federal Agency: General Services Administration

Federal Agency Website: <http://www.gsa.gov/recovery>

National Total: \$5.5 billion (\$750 million for federal buildings & courthouses; \$4.5 billion for green federal buildings; \$3 million for a training & apprenticeship program)

New York State Total: \$236,020,000 has been obligated to High Performance Green Buildings within New York State (full listing on next page)

Entities Eligible to Apply: GSA has discretion to expend funds and has prepared a spending plan.

Flow of Funds: GSA funds federal building projects directly

Obligation Deadline: Not less than \$5,000,000,000 obligated by September 30, 2010
Remainder of funds provided obligated by September 30, 2011

Reporting Requirements: General Services Administration submitted a detailed plan, by project, to the Appropriations Committees of the House and Senate (the "Committees"); Administrator will report to the Committees on the obligation of these funds on a quarterly basis beginning on June 30, 2009

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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High Performance Green Building Full & Partial Building Modernization Projects

- Brooklyn Emanuel Celler U.S. Courthouse (escalation): \$8,200,000
- 26 Federal Plaza (Plaza Repair): \$16,246,000
- Thurgood Marshall U.S. Courthouse (escalation): \$64,000,000

High Performance Green Building Limited Scope

- Brooklyn General Post Office: \$107,056,000
- Central Islip Alfonse D'Amato U.S. Courthouse: \$2,120,000
- Manhattan Federal Building (201 Varick St.): \$9,827,000
- Manhattan Alex Hamilton US Customs House: \$1,825,000
- Syracuse James M. Hanley Federal Building: \$697,000
- Albany Leo W. Obrien Federal Building: \$369,000
- Rochester Kenneth B. Keating Federal Building: \$379,000
- Queens Joseph P. Addabbo Federal Building: \$11,277,000
- Manhattan Daniel P. Moynihan U.S Courthouse: \$1,209,000
- White Plains U.S. Courthouse: \$2,836,000
- Holtsville IRS Service Center: \$5,889,000
- Kings U.S. Court House: \$4,090,000

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description:	Surety Bonds
Federal Agency:	Small Business Administration (SBA)
Federal Agency Website:	http://www.sba.gov/recovery/index.html
National Total:	\$15 million
New York State Total:	N/A
Entities Eligible to Apply:	Small businesses
Flow of Funds:	Expands SBA's surety bond guarantee program; temporarily increases guarantee limit from \$2 million to \$5 million for small and emerging contractors who cannot obtain surety bonds through regular commercial channels. There is no limit to the number of bonds that can be guaranteed for any one contractor. SBA's guarantee gives sureties an incentive to provide bonding for eligible contractors, and thereby provides greater access to contracting opportunities. A surety guarantee, an agreement between a surety and the SBA, provides that SBA will assume a predetermined percentage of loss in the event the contractor should breach the terms of the contract.
Obligation Deadline:	To remain available until expended; SBA has begun to approve surety bonds as of 4/3/09
Reporting Requirements:	Not later than 180 days after enactment, the Small Business Administrator shall submit to Congress a report on the results of a study that includes an assessment of whether the program's current funding framework and program fees are inhibiting the program's growth and an assessment of whether surety companies and small business concerns could benefit from an alternative funding structure.
State Agency:	N/A
State Agency Web Site:	www.recovery.ny.gov
State Agency Technical Contact:	N/A

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**FFY 2009 American Recovery and reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Section 7(a) Direct & Guaranteed Loans

Federal Agency: Small Business Administration

Federal Agency Website: <http://www.sba.gov/recovery/index.html>

National Total: \$636 million (\$6 million for direct loans; \$630 million for guaranteed loans); \$375 million for reimbursements, loan subsidies and loan modifications pursuant to Section 501 of ARRA; \$255 million for loan subsidies and modifications pursuant to Section 506 of ARRA

New York State Total: N/A

Entities Eligible to Apply: Small businesses

Flow of Funds: \$375 million to allow SBA to reduce or eliminate fees it charges to lenders and borrowers in 7(a) and 504 loan programs (reduces cost on \$13.5 billion in loans); allows the SBA to temporarily raise loan guarantee levels to 90% for 7(a) loans, excluding SBA Express loans; temporarily allows SBA to fully guarantee small, short-term "stabilization" loans, not to exceed \$35,000, to existing SBA borrowers; appropriates \$6 million for new microloans to so-called micro-businesses, typically firms with fewer than 10 employees. The additional funding will leverage as much as \$51 million in additional microloans.

Obligation Deadline: Provisions expire September 1, 2010

Reporting Requirements: N/A

State Agency: N/A

State Agency Web Site: www.recovery.ny.gov

State Agency Technical Contact: N/A

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**FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet**

Program Description: Recovery Zone Bonds: bonding capacity to stimulate development in distressed areas.

Federal Agency: Treasury/IRS

Federal Agency Website: www.irs.gov

National Total: \$10 billion for recovery zone economic development bonds (taxable government bonds or “tax credit bonds”) and \$15 billion for recovery zone facility bonds (tax exempt bonds, not subject to aggregate annual State private activity bond volume cap limit).

New York State Total: To be determined by Treasury/IRS by formula

Entities Eligible to Apply: States, counties, municipalities with over 100,000 population

Flow of Funds: Either through the State or directly to the municipality

Obligation Deadline: January 1, 2011

Reporting Requirements: IRS reporting (Form 8038-CP)

State Agency: Empire State Development

State Agency Web Site: www.recovery.ny.gov www.empire.state.ny.us

State Agency Technical Contact: Christopher Walsh, cwalsh@empire.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: Industrial Development Bonds – Temporary expansion of availability of tax-exempt small-issue manufacturing IDBs to facilities creating intangible property. Expands the definition of “manufacturing facilities” to include facilities used for the production of intangible property, allowing Private Activity Bonds to be used to assist high tech manufacturing businesses, such as those engaged in software development and biologics.

Federal Agency: Treasury Dept./IRS

Federal Agency Website: www.irs.gov

National Total: Sum of State Volume Caps -- the per-State Volume Cap for calendar year 2009 is the greater of \$90 multiplied by the State population or \$273,270,000.

New York State Total: Subject to existing State volume cap allocation

Entities Eligible to Apply: IDAs and other authorized issuers

Flow of Funds: Bonding authority will likely go directly to local IDAs, pursuant to statutory formulas

Obligation Deadline: For bonds issued in 2009 and 2010.

Reporting Requirements: IRS reporting requirements

State Agency: Empire State Development/NYS Division of the Budget

State Agency Web Site: www.recovery.ny.gov www.empire.state.ny.us

State Agency Technical Contact: Christopher Walsh, cwalsh@empire.state.ny.us

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: \$1.5 Billion increase in New Markets Tax Credit (NMTC) for 2008 & 2009. The NMTC Program stimulates economic and community development and job creation in low income communities by attracting investment capital from the private sector by providing tax credits to investors who make “qualified equity investments” in investment vehicles called Community Development Entities (CDEs).

Federal Agency: Treasury/Community Development Financial Institutions Fund (CDFI)

Federal Agency Website: www.ustreas.gov <http://www.cdfifund.gov/>

National Total: \$5 billion for 2008 and \$5 billion for 2009

New York State Total: No prescribed state apportionment. NMTC allocations are determined by US Treasury upon application by qualified Community Development Entities. The additional 2009 amount must be allocated to qualified CDEs that submitted an allocation application with respect to calendar year 2008 and either: did not receive an allocation for such calendar year, or, received a calendar year 2008 allocation in an amount less than the amount originally requested.

Entities Eligible to Apply: Certified Development Entities

Flow of Funds: NMTC allocations are awarded directly to certified CDEs

Obligation Deadline: Program scheduled to expire in 2009.

Reporting Requirements: None

State Agency: Empire State Development

State Agency Web Site: www.recovery.ny.gov www.empire.state.ny.us

State Agency Technical Contact: Christopher Walsh, cwalsh@empire.state.ny.us

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STATE FISCAL STABILIZATION

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State Fiscal Stabilization – Medicaid/Federal Medical Assistance Percentage (FMAP) Increase

Federal Agency: U.S. Department of Health & Human Services (HHS)

Federal Agency Web Site: <http://www.hhs.gov/recovery/>

National Total: \$87 billion

New York State Total: \$11,103,909,000 (\$1,890,681,700 for FY '08-'09; \$5,010,786,000 for FY '09-'10; \$4,202,441,300 for FY '10-'11)

Entities Eligible to Apply: State Governors

Flow of Funds: First, states are “held harmless” for any reduction in their FMAP that would have applied this year and through the first quarter of 2011 due to stronger economic conditions that may have prevailed in the state in past years. Second, the ARRA increases each states FMAP by 6.2% through December 31, 2010. Third, states with increasing unemployment, determined on a quarterly basis, qualify for additional increases during the recession adjustment period (October 1, 2009 through December 31, 2010); any additional increase will remain in place at least through July 1, 2010.

Obligation Deadline: N/A

Reporting Requirements: Each State that is paid additional Federal funds as a result of this section shall, not later than September 30, 2011, submit a report to the Secretary, in such form and such manner as the Secretary shall determine, regarding how the additional Federal funds were expended.

State Agency: Executive Branch / NYS Division of the Budget

State Agency Web Site: <http://www.recovery.ny.gov>

State Agency Technical Contact: N/A

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State Fiscal Stabilization Fund - Sec. 14002 Education Fund. Funds may be used by local educational authorities and public institutions of higher education to support educational programs, prevent lay-offs, and avert tax increases.

Federal Agency: U.S. Department of Education (USDOE)

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$39,524,124,000

New York State Total: \$2,468,557,791; in '09-'10 budget, \$1.1 billion of these funds were used to eliminate the Deficit Reduction Assessment, \$38 million was used to restore cuts to community colleges and \$274 million was used to restore cuts to other state education programs.

Entities Eligible to Apply: Public school districts and public institutions of higher education.

Flow of Funds: USDOE awards the funds to the States Executive Branch by formula. Executive branch appropriates funds to State Education Department, who then awards through states primary educational funding formula for public school districts and grants to public school districts and public institutions of higher education.

Obligation Deadline: September 30, 2011

Reporting Requirements: States must report to USDOE on (1) use of the funds; (2) estimated number of jobs created or saved; (3) estimated tax increases that were averted; and (4) the state's progress in the areas covered by the application assurances.

State Agency: Executive Branch / State Education Department

State Agency Web Site: www.recovery.ny.gov <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Charles Szuberla
cszuberl@mail.nysed.gov
518-474-2238

This is not a solicitation for projects; it is meant to serve as an information guide. Instructions for application will be announced by the relevant funding agency; their website should be regularly monitored.

FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State Fiscal Stabilization Fund - Sec. 14006 State Incentive Grants. Funds are for states to ensure an equitable distribution of teachers in public schools, build grades P-16 data systems, improve standards and assessments and support struggling schools.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$4,306,500,000

New York State Total: To be determined; in the '09-'10 budget, the state appropriated \$200 million contingent on the receipt of funding

Entities Eligible to Apply: State must use at least 50% of grant for allocations to Local Educational Agencies.

Flow of Funds: USDOE awards the funds to the States Executive Branch by formula. Executive branch appropriates funds to State Education Department, who then awards through states primary educational funding formula for public school districts and grants to public school districts and public institutions of higher education.

Obligation Deadline: September 30, 2011

Reporting Requirements: States must report to USDOE on (1) use of the funds; (2) estimated number of jobs created or saved; (3) estimated tax increases that were averted; and (4) the state's progress in the areas covered by the application assurances.

State Agency: Executive Branch / State Education Department

State Agency Web Site: <http://www.recovery.ny.gov> <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Joseph Frey
jfrey@mail.nysed.gov
518-474-3862

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FFY 2009 American Recovery and Reinvestment Act
Governor David A. Paterson
Economic Recovery and Reinvestment Cabinet
Program Information Sheet

Program Description: State Fiscal Stabilization Fund - Sec. 14007 Innovation Fund. These competitive awards will be designed to recognize school districts, or partnerships between nonprofit organizations and state educational agencies, school districts, or one or more schools that have made significant gains in closing achievement gaps for certain groups of students. The final criteria for these awards will be designated by the U.S. Secretary of Education.

Federal Agency: U.S. Department of Education

Federal Agency Web Site: <http://www.ed.gov/index.jhtml>

National Total: \$650,000,000

New York State Total: To be determined

Entities Eligible to Apply: Local Educational Agencies; or a partnership between a nonprofit organization and one or more LEAs; or a nonprofit organization and a consortium of schools

Flow of Funds: USDOE awards the funds to the States Executive Branch by formula. Executive branch allocates Discretionary Grants to State Education Department, who awards Formula allocation to Local Educational Agencies; or a partnership between a nonprofit organization and one or more LEAs; or a nonprofit organization and a consortium of schools.

Obligation Deadline: September 30, 2011

Reporting Requirements: States must report to USDOE on (1) use of the funds; (2) estimated number of jobs created or saved; (3) estimated tax increases that were averted; and (4) the state's progress in the areas covered by the application assurances.

State Agency: Executive Branch / State Education Department

State Agency Web Site: <http://www.recovery.ny.gov> <http://usny.nysed.gov/arra/>

State Agency Technical Contact: Anne Schiano
aschiano@mail.nysed.gov
518-474-5922

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